

1 Answers to the reviewers' comments:

2

3 Dear Mr. Fiener,

4 Thank you again for your comments. Please find below our detailed answers to your
5 specific comments (line indication refers to the revised manuscript):

6

7 Reviewer: Line 190. Omit 'moreover' at the beginning of the sentence.

8 Answer: Removed.

9

10 Reviewer: Line 196 and following. Explain/define the terms 'functional diversity',
11 'functional traits', 'species specific functional traits', 'tree species richness', and 'tree
12 species identity' when first used in the text. After this definition use the terms
13 consistently. Personally, I think the term 'tree species identity' is somewhat
14 confusing. Why not just using 'tree species'.

15 Answer: We agree and now defined the above mentioned terms when introduced
16 first(Line 46-48) and checked for consistency. "Tree species identity" at first looked
17 confusing to us, too, but it is used frequently by our colleagues from ecology and
18 botany, as well as the term "tree species richness" instead of "diversity of tree
19 species". Nevertheless, we changed to "tree species" in the title and throughout the
20 manuscript. Furthermore, we added one more citation on functional traits.

21

22 Reviewer: Line 201. 'Hotspots of biodiversity and woody plants' does not make
23 sense. There could be 'hotspots of biodiversity' but not 'hotspots of woody plants'.

24 Answer: We agree that this phrasing was inappropriate. The research area is
25 especially known as a hotspot of tree species richness. We rephrased the sentence
26 to make it clearer (Line 52-54).

27

28 Reviewer: Line 203. Omit 'in China'.

29 Answer: Removed

30

31 Reviewer: Line 205. Replace 'generally' with 'often'

32 Answer: Replaced

33

34 Reviewer: Line 226. The term 'sediment discharge' is somewhat misleading as I
35 guess you measure the total sediment delivered per event. A discharge indicates a
36 rate. Therefore, I suggest using 'surface runoff volume' and 'sediment delivery'
37 throughout the text.

38 Answer: We changed the term from “discharge” to “delivery” throughout the
39 manuscript. Furthermore, we checked for consistency of the expression “surface
40 runoff volume”.

41

42 Reviewer: Line 227. 'Species identities in the leaf litter cover' is unclear.

43 Answer: As you already suggested, it means “the leafs of different tree species within
44 the leaf litter cover”. The whole sentence has been taken out of the revised
45 manuscript.

46

47 Reviewer: Line 227. 'Leaf species' is unclear. Do you mean leafs of different
48 species?

49 Answer: see answer above

50

51 Reviewer: Line 218-251. As already indicated by rev#2 I suggest to further shortening
52 this paragraph. Actually, it seemed to be somewhat unstructured.

53 Answer: Thank you for pointing this out again. We now understood that mentioning
54 the leaf species at this point was misleading and the text was too long. We further
55 shortened the paragraph, which should shortly summarize the mechanism of a tree
56 species richness effect on sediment delivery (Line 67-76).

57

58 Reviewer: Line 256. Change 'are closely' controlled to 'can be monitored in detail'.

59 Answer: Changed

60

61 Reviewer: Line 278-279. Give reference for soil properties.

62 Answer: The basic soil properties have been recorded by our group. A more detailed
63 description of the soil and topography data of the BEF China experimental sites is
64 recently under review for a JPE special issue 1, 2016, but is not yet available as a
65 citable manuscript. Should this be included to the manuscript?

66 Scholten T, Goebes P, Kühn P, Seitz S, Assmann T, Bausch J, Bruehlheide H, Buscot F,
67 Erfmeier A, Fischer M, Härtle W, He JS, Ma K, Niklaus PA, Scherer-Lorenzen M, Schmid B,
68 Shi X, Song Z, von Oheimb G, Wirth C, Wubet T, Schmidt K. On the combined effect of soil
69 fertility and topography on tree growth in subtropical forest ecosystems - a study from SE
70 China. *Journal of Plant Ecology. Special Issue. under review.*

71

72 Reviewer: Line 284-296. This paragraph is somewhat confusing. I suggest omitting
73 the information regarding the 566 plots and just focus on the 34 plots. To make the
74 different settings in the different plots clearer I strongly suggest adding a table
75 presenting the following details: plot no., slope, SOC, ..., vegetation properties (see
76 line 337 to 339), species in each plot etc. Do not give any additional information in
77 the appendix as this makes it hard to read.

78 Answer: Thank you for this suggestion. We shortened the paragraph and omitted
79 information on the 566 plots (Line 125-135). A further table (Table 1) with information
80 on the VIPs was added to the text. All tables were transferred from the appendix to
81 the text. Former table A1 (now table 2) was modified, because 4 tree species were
82 missing in the listing.

83

84 Reviewer: Line 298. 'initial sediment...' is unclear.

85 Answer: We rephrased the sentence (Line 139). The purpose of this clause was to
86 underline that we are not measuring the sediment delivery of the whole hill slope, but
87 of 40 cm length (initial interrill erosion).

88

89 Reviewer: Line 300. I assume that the throughfall is highly heterogeneous

90 Answer: We agree that the throughfall is heterogeneous between different trees.
91 Thus, we tried to get a rainfall measurement under the tree canopy, as the rainfall
92 measurements from climate stations allow only a very rough estimation of the total
93 rainfall arriving at the ROPs. Installing a higher number of rainfall gauges under the
94 canopy (e.g. 4 per ROP) was not feasible in the 2013 field campaign.

95

96 Reviewer: Line 311 + 317. Omit '(n=170)'

97 Answer: Removed

98

99 Reviewer: Line 311 ff. Give generally more details how the different variables were
100 determine. It is unclear which variable is determined for the entire plot (e.g. species
101 richness) or for the small 0.4 x 0.4 m erosion plot. If variables like canopy cover, LAI

102 relate to the plot it must be specified how to make sure that a canopy above the small
103 plot was measured. If all these variables were determined for the entire plot (VIPs) it
104 is necessary to discuss this in much more detail in the discussion. E.g. if an average
105 LAI is determined of the entire VIPs it is clear that the plot internal variability is
106 more important for the individual measurement in the ROPs, than the differences in
107 average LAI between the VIPs.

108 Answer: Thank you for this suggestion. We restructured the paragraph and tried to
109 clarify how the variables were determined (Line 151-163). Ground cover, LAI, surface
110 cover, slope and rainfall amount were measured at every runoff plot with different
111 camera systems, an inclinometer or rainfall gauges, respectively. Tree height, stem
112 diameter, crown width and SOM were identified on VIP-scale, whereof the first three
113 in each case represent a mean value of 36 trees per VIP. Soil texture has been taken
114 out, as it was not part of the analysis.

115

116 Reviewer: Line 317-318. SOM cannot be measured in a Vario EL. The elemental
117 analyser determines the total C content, so SOM must be calculated using as ratio of
118 TOC to SOM.

119 Answer: You are right. We measured total C content and calculated SOM with the
120 conversion factor 2 (see Prybil, 2010, Geoderma). Bedrock and underlying saprolites
121 are non-calcareous (see site description). We corrected the phrasing (Line 160-162).

122

123 Reviewer: L 322-330. This paragraph is somewhat confusing. (i) Why did you use
124 only four events if the entire year 2013 was measured? (ii) If only the four events
125 were measured at all ROPs I suggest to omit the detailed information regarding the
126 erosive events in 2013, as this is confusing.

127 Answer: We agree that beginning with erosive events of the entire year 2013 is
128 confusing. Ten events were measured with ROPs in May and June and four of those
129 events were considered erosive. We rephrased and shortened the paragraph to
130 clarify the issue (Line 165-175).

131

132 Reviewer: Line 327. How did you define events?

133 Answer: Events were defined by breaks of at least 6 hours in rainfall (see above)

134

135 Reviewer: Line 327-328. If the Wischmeier and Smith threshold was confirmed by Yin
136 et al. seems to be not important for the presented study.

137 Answer: Removed

138 Reviewer: Line 339-340. Explain the random effects in more detail.

139 Answer: More details were added (Line 182-185).

140

141 Reviewer: Line 355. Why are there 44 measurements, which are not valid? Explain
142 how this was defined.

143 Answer: Further information has been added (Line 200-202). Invalid measurements
144 were caused by technical problems.

145

146 Reviewer: Line 359-360. How to extrapolate from four events to a yearly value? (see
147 comment above).

148 Answer: We determined the length of all erosive events following our definitions
149 (>12.7 mm, at least 6 hours of break in between) and then extrapolated from the
150 events measured in May and June to the whole year. Therefore, the number of
151 erosive events in 2013 was mentioned in the methods section (see above, Line 171).
152 We know that this is only a rough estimate, but we think that it helps to rank this
153 study to others.

154

155 Reviewer: Line 365-367. If these results were not significant, I would not use this
156 argument here and in the following.

157 Answer: We agree on your comment and deleted this description of trends in the
158 results and conclusions part.

159

160 Reviewer: Line 374. I would expect also information regarding the monocultures.

161 Answer: This sentence is pointing on the issue that there were monocultures with
162 tree heights <1m and crown cover <10 % which did not enter the analysis (see
163 methods line 134-135). In those excluded monocultures, minimum tree height was 10
164 cm and minimum crown cover was 0. Mixtures did not have to be excluded.

165

166 Reviewer: Line 378. As indicated earlier I think the term species identity should be
167 changed to species or individual species.

168 Answer: Changed throughout the manuscript.

169

170 Reviewer: Line 379-381. Just a suggestion to make things clearer: 'Individual tree
171 species in monocultures show significant differences in sediment delivery (Fig. 3)
172 ranging from ... to

173 Answer: Thank you for this suggestion. We adopted the phrasing.

174

175 Reviewer: Line 381 ff. What about runoff volume in case of individual species.

176 Answer: Some few species also affected runoff volume, but this opened up a new
177 chapter in interpretation. We felt that the study should not be charged with more
178 details on runoff volume, which should then also be shown for the functional traits to
179 be consistent. Thus, we would rather omit it in 3.1 than adding more data to the other
180 points. Please let us know your opinion about this issue.

181

182 Reviewer: Line 393. Give details regarding the measurements of stone and biological
183 crust cover in methods.

184 Answer: We added further information to the methods section (Line 155-156). The
185 development and influence of biological crusts will be presented in a separate
186 manuscript and we suggest not discussing it in further detail at this point.

187

188 Reviewer: Line 395-396. I guess you mean 'Sediment delivery decreased with
189 increasing SOM content'.

190 Answer: You are right. We changed the phrasing.

191

192 Reviewer: Line 411-426. I do not see the relation to the rest of the study. Hence, I
193 suggest omitting this paragraph.

194 Answer: We agree that this paragraph is not directly related to biodiversity or species
195 effects. Nevertheless, when presenting our results, very often questions about the
196 small plot size arose. Thus, we felt that some explaining words are necessary in the
197 manuscript and that we should put our measured and calculated erosion rates in
198 some context. Furthermore, it is slightly introducing into chapter 4. Would some
199 further shortening be appropriate or should we take it out completely?

200

201 Reviewer: Line 432. Replace 'Whereas' through 'In contrast'

202 Answer: Changed

203 Reviewer: Line 438-439. Not clear from results.

204 Answer: We specified the statement on 8- and 16-species mixtures (Line 273-274).

205

206 Reviewer: Line 439-440. Somewhat confusing as the monocultures seem to have
207 significant differences.

208 Answer: That is right. But as only eight out of 20 monocultures have significant
209 differences, we believe that their effects are leveled when comparing all
210 monocultures to all mixtures.

211

212 Reviewer: Line 441-442. I guess that the missing effect of species richness is also a
213 result of the very small plots not representing a variable canopy (see comment
214 regarding methods).

215 Answer: Thank you for this suggestion. We agree that the small plot sizes might have
216 some limitations in measurements. Thus, we are currently thinking about using
217 slightly bigger plot sizes for further experiments. Nevertheless, crown cover and LAI
218 have been measured vertically above every ROP in an area covering several square
219 meters of canopy (see above). Moreover, we have 5 replications for every treatment.
220 Results of our research partners show, that it is mostly the young stand age with
221 homogenous canopy characteristics between young tree species that can subdue a
222 biodiversity effect.

223

224 Reviewer: Line 452-454. This is true if there is no understory.

225 Answer: We agree and added this clause to the sentence (Line 290).

226

227 Reviewer: Line 529-530. Speculation.

228 Answer: We agree that those lines are speculative. We changed the phrasing (Line
229 364-369) to underline that those are assumptions.

230

231 Reviewer: Line 530-534. Not supported by the presented data. Speculative.

232 Answer: see above

233

234

235 Reviewer: Line 564-566. As there are no significant effects, I suggest omitting this at
236 least in the conclusion.

237 Answer: Removed (see above)

238

239 Reviewer: Line 592 ff. Omit the appendix and integrate information in text (see
240 comment above).

241 Answer: We agree and integrated Table A1 to the text (now Table 2, Line 137).

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263 **Manuscript version with marked changes:**

264 **Tree species ~~identity~~ and functional traits but not species**
265 **richness affect interrill erosion processes in young**
266 **subtropical forests**

267

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279

280 **Abstract**

281 Soil erosion is seriously threatening ecosystem functioning in many parts of the world. In this
282 context, it is assumed that tree species richness and functional diversity of tree communities
283 can play a critical role in improving ecosystem services such as erosion control. An
284 experiment with 170 micro-scale runoff plots was conducted to investigate the influence of

285 | tree species and tree species richness ~~and identity~~ as well as ~~tree~~ functional traits on interrill
286 | erosion in a young forest ecosystem. An interrill erosion rate of 47.5 Mg ha⁻¹ a⁻¹ was
287 | calculated. This study provided evidence that different tree species affect interrill erosion
288 | differently, while tree species richness did not affect interrill erosion in young forest stands.
289 | Thus, different tree morphologies have to be considered, when assessing soil erosion under
290 | forest. High crown cover and leaf area index reduced interrill erosion in initial forest
291 | ecosystems, whereas rising tree height increased it. Even if a leaf litter cover was not present,
292 | remaining soil surface cover by stones and biological soil crusts was the most important
293 | driver for soil erosion control. Furthermore, soil organic matter had a decreasing influence on
294 | interrill erosion. Long-term monitoring of soil erosion under closing tree canopies is
295 | necessary and a wide range of functional tree traits should be considered in future research.

296

297 | **1 Introduction**

298 | Soil erosion is considered as one of the most severe environmental challenges globally
299 | (Morgan, 2005). It is also a serious challenge in the PR China, especially in the southern
300 | tropical and subtropical zone. Although important improvements in erosion control have been
301 | achieved in this area in the last decades (Zhao et al., 2013), the annual soil loss rates range
302 | between 0.28 Mg ha⁻¹ and 113 Mg ha⁻¹ (Guo et al., 2015). Thereby, soil erosion is negatively
303 | affecting e.g. soil fertility or nutrient cycling (Pimentel et al., 1995; Richter, 1998).

304 | ~~Moreover, s~~Soil erosion can negatively influence biodiversity (Pimentel and Kounang, 1998),
305 | but it is assumed that this relationship also acts vice versa (Körner and Spehn, 2002; Geißler
306 | et al., 2012b; Brevik et al., 2015). It has been shown that a change in biodiversity can have
307 | remarkable effects on ecosystem functions and stability (e.g. Hooper et al., 2005; Scherer-
308 | Lorenzen, 2005). In many cases, increasing biodiversity enhanced ecosystem productivity and

309 stability (Loreau, 2001; Jacob et al., 2010). In particular, tree species richness (the diversity of
310 tree species) as well as functional diversity (the diversity of functional traits as morpho-
311 physiophenological attributes of a given species, cf. Violle et al., 2007) of tree communities
312 can play a critical role in improving ecosystem services such as water filtration or climate
313 regulation (Quijas et al., 2012; Chisholm et al., 2013; Scherer-Lorenzen, 2014). As forests are
314 generally considered beneficial for erosion control, afforestation is a common measure of soil
315 protection (Romero-Diaz et al., 2010; Jiao et al., 2012). This also applies to the south-eastern
316 part of China, which is known as a hotspot of biodiversity and especially tree species richness
317 and woody plants (Barthlott et al., 2005; Bruelheide et al., 2011). Guo et al. (2015) showed
318 that forests in this area experienced the lowest soil loss rates of all land use types ~~in China~~.
319 Considering that studies on soil erosion under forest have mostly focused on deforestation
320 (Blanco-Canqui and Lal, 2008) and counteracting measures such as afforestation generally
321 often result in monoculture stands (Puettmann et al., 2009), it appears that the role of tree
322 species richness for soil erosion has been largely disregarded. Zhou et al. (2002) and
323 Tsujimura et al. (2006) demonstrated that tree monocultures have only limited mitigation
324 potential for soil losses, but further research is scarce. Nevertheless, there is growing evidence
325 that higher species richness can reduce soil erosion (Körner and Spehn, 2002). Bautista et al.
326 (2007) pointed out that an increase in functional diversity within a perennial vegetation cover
327 decreased soil losses in a semiarid Mediterranean landscape. Pohl et al. (2009) showed that an
328 increase in the diversity of root types led to higher soil stability on an alpine grassy hillslope
329 and most recently Berendse et al. (2015) found that a loss of grass species diversity reduced
330 erosion resistance on a dike slope.

331 Conceivable mechanisms underlying positive species richness effects on soil erosion are that
332 vegetation covers with a high number of species include a high number of plant functional
333 groups which complement one another. Thus, they are more effective in controlling erosion

334 processes than vegetative covers with few species (Pohl et al., 2012). For example, ~~a~~ high tree
335 species richness ~~may can~~ result in an increased stratification of canopy layers (Lang et al.,
336 2010). ~~As a consequence, crown overlap, biomass density and a higher~~ total canopy cover
337 ~~often are higher in mixtures than in monocultures~~ (Lang et al., 2012). In addition, a highly
338 diverse structure within the leaf litter layer on the forest floor seems to improve its protecting
339 effect (Martin et al., 2010). ~~Recently, Seitz et al. (2015) pointed out that sediment discharge~~
340 ~~depends on the species identities in the leaf litter cover, whereas there was no effect of leaf~~
341 ~~species richness or functional diversity on soil erosion~~. Further research on the influence of
342 tree species richness on erosion control ~~seems appears~~ to be necessary, but the complex
343 system of interacting functional groups within the vegetation cover is also of great interest.

344 Vegetation covers are generally considered a key factor for the occurrence and dimension of
345 soil erosion (Thornes, 1990; Hupp et al., 1995; Morgan, 2005). A leaf litter layer on the forest
346 floor, for example, protects the soil from direct raindrop impact and modifies the water flow
347 and storage capacities on the soil surface (Kim et al., 2014). Moreover, forests can provide a
348 multi-storey canopy layer which largely influences rain throughfall patterns and leads to the
349 capture of raindrops as well as the storage of water within the tree crown (Puigdefábregas,
350 2005). Nevertheless, large drops can be formed at leaf apexes of tall trees (Geißler et al.,
351 2012a) and thus may increase the kinetic energy of throughfall in older forest stands up to a
352 factor of 2 to 3 compared to open fields (Nanko et al., 2008; Nanko et al., 2015). This leads to
353 considerable soil loss if the forest floor is unprotected, which may be the case if protecting
354 layers diminish e.g. under shady conditions (Onda et al., 2010) or fast decomposition
355 (Razafindrabe et al., 2010). Whereas the effects of soil surface covers on soil erosion is well
356 studied (Thornes, 1990; Blanco-Canqui and Lal, 2008), much less is known about the
357 influence of species-specific functional traits of the tree layer such as crown or stem
358 characteristics (Lavorel and Garnier, 2002; Guerrero-Campo et al., 2008). Moreover, most

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359 research on the latter aspects was performed in old-grown forests (e.g. Zhou et al., 2002;
360 Nanko et al., 2008; Geißler et al., 2012a), whereas forests in an early-successional stage are
361 rarely mentioned. In those young forests, tree heights are lower than in later stages, but
362 structural and spatial complexity is high and species-specific growth rates differ considerably
363 (Swanson et al., 2011). It is assumed that these species-specific differences in structure and
364 growth will influence soil erosion rates.

365 | This research focused on the influence of tree species ~~richness~~, tree species identity-richness
366 | and species-specific functional traits on interrill erosion in young forests, when a leaf litter
367 | cover is not present. Testing for those effects on soil erosion requires a common garden
368 | situation, in which confounding factors such as different tree ages and sizes, inclination or soil
369 | conditions ~~are closely controlled~~ can be monitored in detail. These requirements were met in
370 | the forest biodiversity-ecosystem functioning experiment in subtropical China (BEF-China;
371 | cf. Bruelheide et al., 2014). Within this experiment, 170 micro-scale runoff plots were
372 | established in a randomly dispersed and replicated design. Thereby, the following hypotheses
373 | were postulated:

- 374 | 1. Increasing tree species richness decreases interrill erosion rates.
- 375 | 2. Tree species differ in their impact on interrill erosion rates.
- 376 | 3. The effects of different tree species on interrill erosion rates can be explained by
377 | species-specific functional traits.

378

379 | **2 Methodology**

380 | **2.1 Study site and experimental design**

381 The study was conducted in Xingangshan, Jiangxi Province, PR China (29°06.450' N and
382 117°55.450' E) at the experimental sites A and B of the BEF China project (Bruehlheide et al.,
383 2014). Together, both sites comprise an area of about 50 ha in a mountainous landscape with
384 an elevation range from 100 m to 265 m a.s.l.. Slopes range from 15 ° to 41 °. The bedrock of
385 the experimental site consists of non-calcareous slates with varying sand and silt contents and
386 is intermittent by siliceous-rich joints. Prevailing soil types are Cambisols with Anthrosols in
387 downslope positions and Gleysols in valleys (cf. IUSS, 2006) covering saprolites. Soil bulk
388 density is low (0.98 g cm⁻³) and soil reaction acidic (mean pH in KCl 3.68). Soil texture
389 ranges from silt loam to silty clay loam. The climate in Xingangshan is humid and subtropical
390 and ranked as Cwa after the Köppen-Geiger classification. It is characterized by an annual
391 average temperature of 17.4 °C and a mean annual rainfall of 1635 mm (Goebes et al.,
392 2015b).

393 The experimental area has been used as a commercial forest plantation (*Cunninghamia*
394 *lanceolata* and *Pinus massoniana*) until 2007. It was clear-cut and replanted in 2009-2010
395 following an experimental plot-based design with different extinction scenarios (Bruehlheide et
396 al., 2014). The experimental site represented an early successional stage with tree ages from
397 four to five years at the time of measurements. ~~In total, 566 experimental plots were~~
398 ~~established using a pool of 40 native tree species, as well as bare ground and free succession~~
399 ~~plots.~~ Trees were planted randomly in seven different species richness levels (div0, 1, 2, 4, 8,
400 16, 24) with a planting distance of 1.29 m, following a broken stick design (Bruehlheide et al.,
401 2014). This study focused on the Very Intensively studied Plots (VIPs, cf. Bruehlheide et al.,
402 2014) of which 34 were used ~~(Table 1) in this study. The monocultures with tree heights lower~~
403 ~~than 1 m or crown covers less than 10 % were excluded from the analysis.~~ The selected set
404 comprised a bare ground feature (4 × div0) and four levels of tree species richness (20 × div1,
405 4 × div8, 4 × div16 and 2 × div24) with a total of 226 tree species, ~~two-six~~ of which only

406 appeared in mixtures (~~Appendix Table A12~~). The mMonocultures with tree heights lower than
407 1 m or crown covers less than 10 % were excluded from the analysis.

408 [\[Table 1\]](#)

409 [\[Table 2\]](#)

410 2.2 Erosion measurements

411 To determine ~~initial~~-sediment ~~discharge-delivery~~ (as initial interrill erosion) and surface runoff
412 volume, micro-scale runoff plots (ROP, 0.4 m × 0.4 m) were used (cf. Seitz et al., 2015;
413 without fauna treatment). Each ROP was connected to a 20 L reservoir and a rainfall gauge
414 was placed next to it (Fig. 1). All 34 VIPs were equipped with five ROPs each, resulting in a
415 total number of 170 ROPs. Within each VIP, areas of 220 m² were sectioned for ROP
416 measurements to avoid interferences with other BEF China experiments. Those selected areas
417 were representative for the range of surface properties in the plot and the ROPs were placed
418 randomly therein. All leaf litter was removed from the ROPs prior to measurements. The
419 ROPs were operated in May and June 2013 during the rainy season. Runoff volume and
420 rainfall amount were determined in situ and sediment was assessed after sampling by drying
421 at 40 °C and weighing. The capacity of the reservoirs was not exceeded in any rainfall event.

422 [\[Figure 1\]](#)

423 At each ROP, tree crown cover, leaf area index (LAI), soil surface cover, slope and rainfall
424 amount were measured. Crown cover and LAI were determined using a fish-eye camera
425 system (Nikon D100 with Nikon AF G DX 180°) and the HemiView V.8 software (Delta-T
426 devices, Cambridge, UK) adjusted on the canopy area vertically above the ROP. Soil surface
427 cover was measured photogrammetrically (grid quadrat method with GIMP 2.8) and separated
428 into organic and inorganic covers by colour distinction. Slope was measured with an

429 inclinometer. Rainfall amount at each ROP was determined by rainfall gauges (see above). At
430 each VIP, total tree height, stem diameter at 5 cm above ground (hereafter, stem diameter)
431 and crown width were measured and calculated as the mean of 36 tree individuals per VIP (Li
432 et al., 2014). Additionally, soil organic matter (SOM) was identified for each VIP (5 cm
433 depth, 9 replicates) by measuring total organic carbon with a Vario EL III elemental analyser
434 (Elementar, Hanau, Germany) and multiplying it by the conversion factor 2 (Pribyl, 2010).
435 Tree species richness was known from the VIP-setup.

436 ~~At each ROP (n=170), tree crown cover and leaf area index (LAI) were measured using a~~
437 ~~fish-eye camera system (Nikon D100 with Nikon AF-G DX 180°) and the HemiView V.8~~
438 ~~software (Delta T devices, Cambridge, UK). Total tree height, stem diameter at 5 cm above~~
439 ~~ground (hereafter, stem diameter) and crown width for each tree individual were measured to~~
440 ~~represent the tree characteristics (Li et al., 2014). Soil surface cover was measured~~
441 ~~photogrammetrically (grid quadrat method with GIMP 2.8) and slope with an inclinometer at~~
442 ~~each ROP (n=170), respectively. Soil texture and soil organic matter (SOM) were identified~~
443 ~~for each VIP (5 cm depth, 9 replicates, n=34) using a SediGraph III 5120 (Micromeritics,~~
444 ~~Aachen, Germany) and a Vario EL III elemental analyser (Elementar, Hanau, Germany).~~

445 **2.3 Rainfall patterns**

446 Weather conditions were recorded by an on-site climate station (ecoTech datalogger with
447 Vaisala weather transmitter and ecoTech tipping bucket balance) in 5-min intervals. The total
448 precipitation ~~in-at~~ the study area in 2013 was 1205 mm and lower than the mean of the
449 preceding three years (1635 mm). In total May and June, 10 rainfall events were captured with
450 ROP measurements at the study area in May and June. Events were determined by breaks in
451 rainfall of at least 6 hours. Four of those events (E1 - E4) ~~can be considered erosive. Of this~~
452 amount, a fraction of 957 mm (33 events) were strong enough to trigger soil erosion (out of
453 33 events over the entire year 2013) following Wischmeier and Smith (1978) who used an

454 event threshold of 12.7 mm. ~~This threshold was confirmed by Yin et al. (2007) to be valid for~~
455 ~~southeast China. In total, 10 rainfall events were captured at the study area in May and June.~~
456 ~~Four of those events (E1 - E4) can be considered erosive.~~ The total rainfall amount from May
457 to June was 185 mm, of which 135 mm fell during erosive rainfall events. The mean and peak
458 intensities as well as the total rainfall amount (except for E4) increased from May to June
459 (Table 43), reflecting a growing monsoon influence from beginning to mid-summer.

460 [Table 43]

461 2.4 Statistical analysis

462 Linear mixed effects models with restricted maximum likelihood were performed with R
463 3.0.2 (R Core Team, 2013) and “lmerTest” (Kuznetsova et al., 2014) to investigate the
464 influences on sediment ~~discharge~~delivery. Models were fitted with crown cover, leaf area
465 index, tree height, stem diameter, crown width, slope, surface cover, SOM, amount of
466 precipitation and tree species richness as fixed effects. As random effects, precipitation event
467 (E1 - E4) nested in plot, tree composition (species pool), site (A or B) and ROP nested in plot
468 were used. ~~Nesting was introduced to avoid pseudoreplication considering the degrees of~~
469 ~~freedom in our hypotheses tests.~~ Tree and crown characteristics were fitted one after the other,
470 because they were highly correlated. Contrasts of diversity levels (div0 to div1-24, div1 to
471 div8-24) were introduced to quantify the effects of bare plots vs. tree plots and tree
472 monocultures vs. mixtures, respectively. The effect of individual tree species (div1) was
473 tested separately against the mean sediment ~~discharge~~delivery using crown cover, slope,
474 surface cover, SOM and amount of precipitation as fixed factors and site and ROP nested in
475 plot as random factor (n=200). The maximum likelihood approach was used to obtain model
476 simplification by step-wise backward selection, eliminating the least significant variable
477 except for tree species richness. If multicollinearity was detected (spearman $\rho > 0.7$), co-
478 variables were omitted. All variables were continuous and scaled, so model estimates could be

479 compared. The data was log-transformed and the residuals did not show any deviation from
480 normality. Hypotheses were tested with an ANOVA type 3 with Satterthwaite approximation
481 for degrees of freedom and p-values were obtained by likelihood ratio tests.

482

483 3 Results

484 The results were based on 334 ROP measurements out of a total of 378 measurements. ~~Invalid~~
485 ~~measurements were caused by technical constraints such as plugged tubes or toppled rainfall~~
486 ~~gauges.~~ Sediment ~~discharge-delivery~~ over all VIPs and rainfall events ranged from 14 g m⁻² to
487 920 g m⁻² per ROP. Event-based mean sediment ~~discharge-delivery~~ increased with peak
488 intensity from precipitation event 1 to event 4 with 42 g m⁻² (E1), 85 g m⁻² (E2), 120 g m⁻²
489 (E3) and 283 g m⁻² (E4). The interrill soil erosion rate determined by micro-scale ROPs and
490 extrapolated for all erosive precipitation events (>12.7 mm rainfall amount) in 2013 was
491 estimated to be 47.5 Mg ha⁻¹.

492 3.1 Species richness effects on interrill erosion processes

493 Tree species richness did not affect sediment ~~discharge-delivery~~ or runoff ~~volume~~ (Table 2-4
494 and Fig. 2). ~~Sediment discharge tended to decrease from diversity level 0 to 8 and to increase~~
495 ~~to diversity level 24, while runoff volume tended to decrease from diversity level 0 to 16 and~~
496 ~~to increase to diversity level 24, but shifts were non-significant.~~ Sediment ~~discharge-delivery~~
497 and runoff volume did not differ between bare plots (div0) and plots with trees (div1-div24),
498 just as between monocultures (div1) and species mixtures (div8, div16, div24). The standard
499 deviations of sediment ~~discharge-delivery~~ (g m⁻²) and runoff volume (l m⁻²) in relation to
500 diversity levels were high (Fig. 2 and Table 35). Mean crown cover in mixed stands was 44 %
501 and mean tree height was 2.30 m compared to monocultures with 22 % and 1.63 m. In this

502 experiment tree height in mixed stands was not lower than 1.07 m and crown cover achieved
503 at least 29 %.

504 [Table 24]

505 [Figure 2]

506 [Table 35]

507 3.2 Species ~~identity~~ effects on interrill erosion processes

508 Individual tree species in monocultures ~~affected~~ showed significant differences in sediment
509 discharge-delivery differently (Fig. 3) ~~and sediment discharge rates ranged~~ ranging from 90 g
510 m⁻² (*L. formosana*) to 560 g m⁻² (*Ch. axillaris*) per rainfall event.

511 [Figure 3]

512 The mean sediment discharge-delivery is 199 g m⁻² across all tree monocultures, among which
513 *Ch. axillaris*, *C. glauca*, *R. chinensis* and *K. bipinnata* showed above average and *M.*
514 *yuyuanensis*, *L. glaber*, *E. chinensis* and *L. formosana* below average sediment
515 dischargedelivery. The growth characteristics of these tree species differed considerably
516 between the species (Table 46).

517 [Table 46]

518 3.3 Effects of tree-species-specific functional traits and site characteristics

519 Crown cover was highly correlated with LAI, tree height, stem diameter and crown width
520 ($r=0.82, 0.80, 0.75, 0.77$, respectively). Crown cover ($p<0.01$) and LAI ($p<0.05$) negatively
521 affected sediment dischargedelivery. Tree height marginally positively affected sediment
522 discharge-delivery ($p<0.1$), whereas stem diameter and crown width had no influence (Fig. 4,
523 Table 24). The soil surface cover consisted of stones and biological soil crusts and covered on

524 average one fifth of the ROP surfaces in May and June 2013. It affected sediment ~~discharge~~
525 ~~delivery~~ negatively ($p < 0.001$). ~~Mean soil organic matter content in the top layer was high and~~
526 ~~reduced sediment discharge~~ Sediment delivery decreased with increasing SOM content
527 ($p < 0.05$). An indication of hydrophobic surface coatings and a significant role of water
528 repellency could not be found. The mean slope angle did not affect sediment ~~discharge~~
529 ~~delivery~~ (Fig. 4, Table 24).

530 [Figure 4]

531 Growth characteristics were highly variable between tree species, which was reflected by high
532 standard deviations of the respective variables. In contrast, site characteristics of these plots
533 showed a low variability (Table 57).

534 [Table 57]

535

536 **4 Discussion**

537 The soil loss rate determined by micro-scale ROPs ($47.5 \text{ Mg ha}^{-1} \text{ a}^{-1}$) for 2013 was
538 considerably higher than the average rate Guo et al. (2015) recently calculated for South
539 China (approx. $20 \text{ Mg ha}^{-1} \text{ a}^{-1}$) in a study based on small-scale and field ROPs. Pimentel
540 (1993) reported an average rate of $36 \text{ Mg ha}^{-1} \text{ a}^{-1}$ for the same area. Zheng et al. (2007) stated
541 an average soil loss rate of $31 \text{ Mg ha}^{-1} \text{ a}^{-1}$ determined with $^{137}\text{Cs}/^{210}\text{Pb}$ tracing techniques in
542 Sichuan Province, PR China. These different rates are due to different land use types and
543 measurement techniques, but also due to the scale-dependent nature of soil erosion and runoff
544 generation (cf. Boix-Fayos et al., 2006; Cantón et al., 2011). The micro-scale ROPs used in
545 this study quantified interrill wash and sediment detachment by raindrop impact (Agassi and
546 Bradford, 1999; cf. Cerdà, 1999; Parsons et al., 2003; García-Orenes et al., 2012). However,

547 an important part of erosion appears in the rilling system and the influence of interrill
548 processes on soil erosion varies greatly (Govers and Poesen, 1988). Sediment ~~discharge~~
549 ~~delivery~~ and runoff ~~volume~~ change with ROP length (cf. Abrahams et al., 1995) and boundary
550 effects increasingly influence the results with decreasing plot sizes (Mutchler et al., 1994).
551 Nevertheless, Mutchler et al. (1994) stated that micro-scale ROPs are suitable to study basic
552 aspects of soil erosion and furthermore, those measurements are particularly appropriate to
553 define impacts of vegetation by interplot comparison (Wainwright et al., 2000).

554 4.1 Species richness effects on interrill erosion processes

555 Tree species richness did not affect sediment ~~discharge-delivery~~ or runoff volume and thus the
556 first hypothesis has to be rejected. Nevertheless, a trend of decreasing sediment ~~discharge~~
557 ~~delivery~~ and runoff ~~volume~~ from diversity level 0 to 8 was visible. However, both parameters
558 were nearly the same at diversity level 1 and 24 and standard deviations were high. ~~Whereas~~
559 ~~In contrast to~~ tree growth patterns in monocultures ~~which~~ were highly variable, mixed stands
560 indicated a more balanced development (Kelty, 2006). All species mixtures in this experiment
561 assured a higher level of tree height and ground coverage after four to five years of tree
562 growth, whereas in monocultures the canopy cover was lower and highly tree species specific.
563 Thus, several monoculture plots were excluded before ~~measurements~~~~the analysis~~, because
564 some species could not provide any considerable ground coverage. At the same time,
565 sediment ~~discharge-delivery~~ in ~~8- and 16-species~~ mixtures ~~stands~~ was lower than in
566 monocultures. Nevertheless, contrasts in the model could not show any statistical difference
567 between monocultures and mixtures or bare and covered plots.

568 The absence of a species richness effect on interrill erosion is likely attributable to the early
569 successional stage of the forest experiment with low tree ages. Full canopy covers with high
570 stratification and overlap have not yet been developed at the study site and the trees did by far
571 not reach terminal height (Goebes et al., 2015b; Li et al., 2014). It is assumed that these

572 vegetation characteristics will change with increasing tree age and tree species richness may
573 become evident in adult stands. Young trees are functionally more equivalent than older trees
574 (Barnes and Spurr, 1998) and specific crown traits may emerge more distinctly in later
575 successional stages. Geißler et al. (2013) found that the erosion potential was higher in
576 medium and old grown forests than in young forests. This effect is caused by raindrop
577 transformation processes during the canopy passage, resulting in higher throughfall kinetic
578 energy under forest than on fallow land (Geißler et al., 2010) and has only been proved for
579 advanced successional forest stages (Nanko et al., 2008; Geißler et al., 2013). With ongoing
580 time of the experiment and increasing tree height increasing throughfall kinetic energy is
581 expected, which in turn increases the general soil erosion potential if an understory is missing.

582 **4.2 Species ~~identity~~ effects on interrill erosion processes**

583 Trees in monocultures differed in their impact on interrill erosion and thus hypothesis 2 can
584 be confirmed. In a study on common European tree species, Augusto et al. (2002) showed that
585 the tree species composition of forests has an impact on chemical, physical and biological soil
586 properties. Several studies revealed that individual plants are important for erosion control in
587 arid and semi-arid Mediterranean landscapes (e.g. Bochet et al., 2006; cf. Durán Zuazo and
588 Rodríguez Pleguezuelo, 2008) and Xu et al. (2008) showed that different plant morphologies
589 may control soil loss and improved soil properties in a dry river valley in China.

590 In this study, four tree species (*Ch. axillaris*, *C. glauca*, *R. chinensis*, *K. bipinnata*) seemed to
591 foster interrill erosion rates, whereas another four species (*M. yuyuanensis*, *L. glaber*, *E.*
592 *chinensis*, *L. formosana*) showed a mitigating effect on interrill erosion at this initial stage of
593 the forest ecosystem. Thus, a species-specific effect on sediment discharge-delivery for this
594 subtropical experimental area can be confirmed. Species-specific effects can result from
595 different throughfall kinetic energy, which was recently shown by Goebes et al. (2015a) at the
596 same study site in China. The effect of throughfall kinetic energy was ascribed to different

597 tree architectural characteristics and leaf traits. The authors found three out of 11 tree species
598 to have distinct differences in mean throughfall kinetic energy. *Ch. axillaris* and *S. saponaria*
599 showed higher values, whereas *S. superba* was characterized by lower values of throughfall
600 kinetic energy. At the experimental site, varying tree species revealed heterogeneous growth
601 patterns, which were caused by species-specific growth variation and abiotic site conditions
602 (Li et al., 2014). *Ch. axillaris* was the tallest tree species with a nearly closed canopy and
603 caused the highest amount of sediment ~~discharge-delivery~~ in this study. Raindrops falling
604 from leaves of this species nearly reached terminal velocity and hence throughfall kinetic
605 energy was high (Morgan, 2005; Goebes et al., 2015a). This finding explained the high
606 erosion rates below this fast-growing species. Further stands with significantly higher erosion
607 rates and the four tree species with a mitigating effect on interrill erosion showed lower tree
608 heights and thus lower throughfall kinetic energy. Their effect on sediment ~~discharge-delivery~~
609 has to be explained by further functional traits.

610 **4.3 Effects of ~~tree-species-specific~~ functional traits and site characteristics**

611 Tree species differed widely in canopy characteristics and sediment ~~discharge-delivery~~ was
612 significantly related to crown cover, LAI and tree height. Therefore, the species-specific
613 effects of interrill erosion can be partially contributed to species-specific functional traits,
614 which confirms hypothesis 3. The falling velocities of throughfall drops are highly variable
615 under different tree species due to the species-specific growth pattern and crown
616 characteristics (Goebes et al., 2015a). Frasson and Krajewski (2011) showed that the
617 mechanisms of interception are manifold even within a single canopy and varying canopy
618 levels create different drop size distributions.

619 Increasing crown cover and LAI were mitigating interrill erosion in this early ecosystem
620 stage. The magnitude of canopy cover determines the proportion of raindrops intercepted
621 (Blanco-Canqui and Lal, 2008) and it has been shown that drop size distributions differ

622 between different canopy species (Nanko et al., 2006). High crown cover and leaf area
623 increase the interception of rain drops and the storage capacity of water in the canopy (Aston,
624 1979; Geißler et al., 2012a), which can lead to higher stemflow and thus decreasing
625 throughfall (Herwitz, 1987). Nevertheless, Herwitz (1987) equally showed that canopy
626 drainage can lead to larger throughfall drops and thus to increasing throughfall kinetic energy
627 depending on the leaf species (Hall and Calder, 1993; Geißler et al., 2012a; Goebes et al.,
628 2015a). Anyhow, LAI showed a weaker significance than crown cover, probably because
629 many trees had not yet developed a multi-layered canopy structure.

630 It has been shown that tree height is an import factor for sediment detachment under forest
631 (Geißler et al., 2013), mostly due to increasing drop falling heights (Gunn and Kinzer, 1949).
632 As trees did not yet reach adult height (mean height <2 m) in this study, the kinetic energy of
633 raindrops formed at leaf tips was lower than in grown up tree stands and drops did not reach
634 terminal velocities (Morgan, 2005; Geißler et al., 2013; Goebes et al., 2015a). Therefore, tree
635 height had a weak effect on sediment ~~discharge-delivery~~ (p<0.1) in this study and ~~sediment~~
636 ~~discharge-rates~~ under trees ~~were-it was~~ not exceeding ~~those-sediment delivery~~ on bare ground.
637 Nevertheless, high sediment ~~discharge-delivery~~ under *Ch. axillaris*, by far the fastest growing
638 tree in this experiment, showed the potential of high trees to increase soil erosion on
639 uncovered forest floors.

640 Stem diameter and crown width did not seem to influence erosion processes in early stage
641 forest ecosystems. Several other tree-related functional traits (Pérez-Harguindeguy et al.,
642 2013) could be used to explain sediment ~~discharge-delivery~~ such as branching architecture,
643 specific leaf area and root system morphology. Especially studies on leaf traits (Nanko et al.,
644 2013) as well as belowground stratification (Gyssels et al., 2005; Stokes et al., 2009) showed
645 the potential to influence soil loss and pointed out the complexity of factors mitigating soil
646 erosion in forest ecosystems.

647 | Results showed that soil surface cover and ~~soil organic matter~~SOM affect interrill erosion.
648 | Even though a leaf litter cover was not present in this experiment, the remaining soil surface
649 | cover by stones and biological soil crusts was the most important driver to reduce sediment
650 | ~~discharge~~delivery. This finding underlines the general importance of covered soil surfaces for
651 | erosion control (cf. Thornes, 1990; Morgan, 2005) and shows that the protecting effect of leaf
652 | litter could not only be replaced by soil skeleton but also by topsoil microbial communities in
653 | young forest stands. The mitigating effect of leaf litter on soil losses has not been in the focus
654 | of this experimental approach, but it is presumed that the fall of leaves even in young aged
655 | forests reduces soil erosion considerably compared to bare land (Blanco-Canqui and Lal,
656 | 2008; Seitz et al., 2015). Furthermore, ~~soil organic matter~~SOM ~~effectively prevented~~reduced
657 | interrill erosion which could be explained by its ability to ~~binding~~ primary particles into
658 | aggregates (Blanco-Canqui and Lal, 2008). If ~~soil organic matter~~we assume that SOM
659 | increases with increasing species richness, as it was recently demonstrated in a grassland
660 | study by Cong et al. (2014), an indirect effect of biodiversity on soil erosion ~~can~~ould be
661 | ~~presumed~~supposed. At last, slope angle was not affecting interrill erosion due to the short plot
662 | length that limits runoff velocities (cf. Seitz et al., 2015).

663

664 | 5 Synthesis and conclusions

665 | An experiment with 170 micro-scale runoff plots was conducted to investigate the influence
666 | of tree species and tree species richness ~~and identity~~ as well as tree species-specific functional
667 | traits on interrill soil erosion processes in a young forest ecosystem. The results led to the
668 | following conclusions:

- 669 | 1. Tree species richness did not affect sediment ~~discharge~~delivery and runoff
670 | volume, although ~~a negative trend was visible from diversity level 1 to 8 and~~

671 mixed stands showed a more balanced and homogenous vegetation development
672 than monocultures. This finding was ascribed to the young successional stage of
673 the forest experiment. Future research should concentrate on how erosion rates
674 change with increasing stand age. Therefore, long-term monitoring of soil erosion
675 under closing tree canopies is necessary.

676 2. This study provided evidence that different tree species affect interrill erosion
677 processes. Different tree morphologies have to be considered, when regarding
678 erosion in young forest ecosystems. The appropriate choice of tree species for
679 afforestation against soil erosion becomes already important in an early
680 successional stage.

681 3. Species-specific functional traits and site characteristics affected interrill erosion
682 rates. High crown cover and leaf area index reduced soil erosion, whereas it was
683 slightly increased by increasing tree height. Thus, low tree stands with high
684 canopy cover were effectively counteracting soil loss in initial forest ecosystem. In
685 further studies, a wider range of functional tree traits such as leaf habitus or
686 belowground stratification should be taken into consideration. Moreover,
687 investigations on the influence of biological soil crusts, topsoil microbial
688 communities and their impact on organic matter accumulation will open the way to
689 new insights on soil erosion processes.

690

691 **Appendices**

692 **{Table A1}**

693

694 **Author contribution**

695 Thomas Scholten, Peter Kühn and Steffen Seitz designed the experiment and Steffen Seitz
696 carried it out. Steffen Seitz, Philipp Goebes and Helge Bruelheide developed the model code
697 and performed the statistics. Ying Li and Werner Härdtle provided data on tree growth and
698 species-specific functional traits. Steffen Seitz prepared the manuscript with contributions
699 from all co-authors.

700

701 **Acknowledgements**

702 This study was financed by the German Research Foundation (DFG FOR 891/2) in
703 cooperation with the Chinese Academy of Science (CAS). We are grateful to the Sino-
704 German Center for Science Promotion for summer schools and travel grants (GZ 1146).
705 Thanks go to Chen Lin and Zhiqin Pei for organisation and translation in China, Milan Daus
706 and Kathrin Käppeler for assistance during field work, Bertram Bläschke for the installation
707 of the first ROPs, Mr. Lian, Mrs. Liu and Mr. Liu for technical support in China and finally to
708 our numerous brave Chinese field workers.

709

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Table 1: Mean characteristics of the 34 selected Very Important study Plots (VIPs) in 2013 in the BEF China experiment, Xingangshan, Jiangxi Province, PR China.

<u>VIP no.</u>	<u>Species number</u>	<u>Crown cover (%)</u>	<u>Leaf area index</u>	<u>Tree height (m)</u>	<u>Stem diameter (m)</u>	<u>Crown width (m)</u>	<u>Slope (°)</u>	<u>Surface cover (%)</u>	<u>Soil organic matter (%)</u>
<u>F27</u>	<u>0</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>26</u>	<u>10</u>	<u>5.4</u>
<u>H28</u>	<u>0</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>34</u>	<u>15</u>	<u>5.9</u>
<u>L20</u>	<u>0</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>24</u>	<u>11</u>	<u>8.3</u>
<u>Q23</u>	<u>0</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>=</u>	<u>15</u>	<u>23</u>	<u>6.2</u>
<u>E31</u>	<u>1</u>	<u>16</u>	<u>0.19</u>	<u>1.25</u>	<u>0.02</u>	<u>0.80</u>	<u>22</u>	<u>39</u>	<u>5.5</u>
<u>E33</u>	<u>1</u>	<u>20</u>	<u>0.28</u>	<u>2.32</u>	<u>0.03</u>	<u>1.09</u>	<u>19</u>	<u>41</u>	<u>4.4</u>
<u>E34</u>	<u>1</u>	<u>87</u>	<u>2.07</u>	<u>5.96</u>	<u>0.06</u>	<u>3.00</u>	<u>21</u>	<u>11</u>	<u>6.1</u>
<u>I25</u>	<u>1</u>	<u>11</u>	<u>0.14</u>	<u>1.62</u>	<u>0.04</u>	<u>0.96</u>	<u>29</u>	<u>11</u>	<u>5.3</u>
<u>I28</u>	<u>1</u>	<u>15</u>	<u>0.19</u>	<u>2.28</u>	<u>0.04</u>	<u>1.64</u>	<u>26</u>	<u>32</u>	<u>8.9</u>
<u>K19</u>	<u>1</u>	<u>93</u>	<u>4.20</u>	<u>3.67</u>	<u>0.06</u>	<u>1.66</u>	<u>24</u>	<u>32</u>	<u>8.3</u>
<u>L11</u>	<u>1</u>	<u>10</u>	<u>0.11</u>	<u>1.36</u>	<u>0.02</u>	<u>0.90</u>	<u>28</u>	<u>19</u>	<u>7.1</u>
<u>M7</u>	<u>1</u>	<u>46</u>	<u>0.62</u>	<u>2.01</u>	<u>0.03</u>	<u>1.28</u>	<u>31</u>	<u>8</u>	<u>6.8</u>
<u>N05</u>	<u>1</u>	<u>9</u>	<u>0.10</u>	<u>1.16</u>	<u>0.03</u>	<u>0.40</u>	<u>32</u>	<u>0</u>	<u>6.3</u>
<u>N11</u>	<u>1</u>	<u>42</u>	<u>0.55</u>	<u>1.68</u>	<u>0.03</u>	<u>0.96</u>	<u>26</u>	<u>32</u>	<u>9.7</u>
<u>N13</u>	<u>1</u>	<u>13</u>	<u>0.13</u>	<u>3.05</u>	<u>0.05</u>	<u>1.56</u>	<u>31</u>	<u>30</u>	<u>7.9</u>
<u>N17</u>	<u>1</u>	<u>47</u>	<u>0.85</u>	<u>1.82</u>	<u>0.03</u>	<u>1.62</u>	<u>28</u>	<u>1</u>	<u>7.9</u>
<u>O27</u>	<u>1</u>	<u>90</u>	<u>2.27</u>	<u>7.40</u>	<u>0.07</u>	<u>2.21</u>	<u>21</u>	<u>9</u>	<u>5.7</u>
<u>Q13</u>	<u>1</u>	<u>19</u>	<u>0.30</u>	<u>1.97</u>	<u>0.03</u>	<u>1.15</u>	<u>30</u>	<u>1</u>	<u>6.9</u>
<u>Q27</u>	<u>1</u>	<u>24</u>	<u>0.47</u>	<u>3.37</u>	<u>0.04</u>	<u>1.37</u>	<u>35</u>	<u>3</u>	<u>6.0</u>
<u>R14</u>	<u>1</u>	<u>51</u>	<u>0.93</u>	<u>1.25</u>	<u>0.02</u>	<u>0.64</u>	<u>30</u>	<u>1</u>	<u>7.6</u>
<u>R29</u>	<u>1</u>	<u>21</u>	<u>0.24</u>	<u>1.44</u>	<u>0.03</u>	<u>0.95</u>	<u>33</u>	<u>18</u>	<u>6.3</u>
<u>U16</u>	<u>1</u>	<u>10</u>	<u>0.14</u>	<u>2.26</u>	<u>0.05</u>	<u>1.10</u>	<u>20</u>	<u>5</u>	<u>4.7</u>
<u>V24</u>	<u>1</u>	<u>64</u>	<u>1.02</u>	<u>2.19</u>	<u>0.05</u>	<u>0.96</u>	<u>32</u>	<u>11</u>	<u>4.3</u>
<u>W11</u>	<u>1</u>	<u>34</u>	<u>0.43</u>	<u>2.61</u>	<u>0.06</u>	<u>1.13</u>	<u>19</u>	<u>6</u>	<u>6.0</u>
<u>J29</u>	<u>8</u>	<u>29</u>	<u>0.34</u>	<u>1.47</u>	<u>0.05</u>	<u>0.76</u>	<u>31</u>	<u>13</u>	<u>9.4</u>
<u>Q17</u>	<u>8</u>	<u>30</u>	<u>0.37</u>	<u>1.74</u>	<u>0.05</u>	<u>1.05</u>	<u>22</u>	<u>6</u>	<u>5.2</u>
<u>S10</u>	<u>8</u>	<u>99</u>	<u>5.35</u>	<u>3.85</u>	<u>0.05</u>	<u>2.19</u>	<u>36</u>	<u>29</u>	<u>4.2</u>
<u>T15</u>	<u>8</u>	<u>31</u>	<u>0.38</u>	<u>1.96</u>	<u>0.03</u>	<u>1.15</u>	<u>30</u>	<u>20</u>	<u>4.8</u>
<u>M22</u>	<u>16</u>	<u>87</u>	<u>2.06</u>	<u>4.35</u>	<u>0.06</u>	<u>2.09</u>	<u>23</u>	<u>44</u>	<u>7.2</u>
<u>S22</u>	<u>16</u>	<u>34</u>	<u>0.42</u>	<u>1.07</u>	<u>0.04</u>	<u>0.56</u>	<u>33</u>	<u>24</u>	<u>6.6</u>
<u>U10</u>	<u>16</u>	<u>48</u>	<u>0.56</u>	<u>3.06</u>	<u>0.06</u>	<u>1.56</u>	<u>22</u>	<u>10</u>	<u>6.0</u>
<u>V27</u>	<u>16</u>	<u>42</u>	<u>0.54</u>	<u>2.09</u>	<u>0.05</u>	<u>0.99</u>	<u>34</u>	<u>9</u>	<u>6.4</u>
<u>N09</u>	<u>24</u>	<u>11</u>	<u>0.17</u>	<u>2.08</u>	<u>0.04</u>	<u>1.29</u>	<u>33</u>	<u>38</u>	<u>8.8</u>
<u>R30</u>	<u>24</u>	<u>37</u>	<u>0.46</u>	<u>1.67</u>	<u>0.04</u>	<u>0.97</u>	<u>27</u>	<u>19</u>	<u>4.2</u>

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1004 Table A12: 226 selected tree species used in the experiment according to the Flora of China (<http://www.efloras.org>).

1005 Asterisks (*) mark species which only appear in mixtures.

Species name and author

<u><i>Ailanthus altissima</i> (Miller) Swingle</u>	<u><i>Koelreuteria bipinnata</i> Franch.</u>
<u><i>Alniphyllum fortunei</i> (Hemsl.) Makino</u>	<u><i>Liquidambar formosana</i> Hance</u>
<u><i>Betula luminifera</i> H. Winkl.</u>	<u><i>Lithocarpus glaber</i> (Thunb.) Nakai</u>
<u><i>Castanea henryi</i> (Skan) Rehd. et Wils.</u>	<u><i>Magnolia yuyuanensis</i> Hu</u>
<u><i>Castanopsis fargesii</i> Franch.</u>	<u><i>Nyssa sinensis</i> Oliver *</u>
<u><i>Castanopsis sclerophylla</i> (Lindl.) Schott.</u>	<u><i>Rhus chinensis</i> Mill.</u>
<u><i>Choerospondias axillaris</i> (Roxb.) Burtt et Hill.</u>	<u><i>Sapindus saponaria</i> Gaertn.</u>
<u><i>Cyclobalanopsis glauca</i> (Thunb.) Oerst.</u>	<u><i>Schima superba</i> Gardn. et Champ.</u>
<u><i>Elaeocarpus chinensis</i> Gardn. et Champ.</u>	<u><i>Triadica sebifera</i> (L.) Roxb.</u>
<u><i>Elaeocarpus glabripetalus</i> Merr.</u>	<u><i>Quercus fabri</i> Hance</u>
<u><i>Elaeocarpus japonicus</i> Sieb. et Zucc.</u>	<u><i>Quercus phillyreoides</i> A. Gray *</u>

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Species name and author

<u><i>Ailanthus altissima</i> (Miller) Swingle</u>	<u><i>Koelreuteria bipinnata</i> Franch.</u>
<u><i>Alniphyllum fortunei</i> (Hemsl.) Makino</u>	<u><i>Liquidambar formosana</i> Hance</u>
<u><i>Betula luminifera</i> H. Winkl.</u>	<u><i>Lithocarpus glaber</i> (Thunb.) Nakai</u>
<u><i>Castanea henryi</i> (Skan) Rehd. et Wils.</u>	<u><i>Machilus grijsii</i> Hance *</u>
<u><i>Castanopsis fargesii</i> Franch.</u>	<u><i>Machilus leptophylla</i> Hand.-Mazz. *</u>
<u><i>Castanopsis sclerophylla</i> (Lindl.) Schott.</u>	<u><i>Magnolia yuyuanensis</i> Hu</u>
<u><i>Celtis Biondi</i> Nakai *</u>	<u><i>Nyssa sinensis</i> Oliver *</u>
<u><i>Choerospondias axillaris</i> (Roxb.) Burtt et Hill.</u>	<u><i>Rhus chinensis</i> Mill.</u>
<u><i>Cyclobalanopsis glauca</i> (Thunb.) Oerst.</u>	<u><i>Sapindus saponaria</i> Gaertn.</u>
<u><i>Elaeocarpus chinensis</i> Gardn. et Champ.</u>	<u><i>Schima superba</i> Gardn. et Champ.</u>
<u><i>Elaeocarpus glabripetalus</i> Merr.</u>	<u><i>Triadica sebifera</i> (L.) Roxb.</u>
<u><i>Elaeocarpus japonicus</i> Sieb. et Zucc.</u>	<u><i>Quercus fabri</i> Hance</u>
<u><i>Idesia polycarpa</i> Maxim. *</u>	<u><i>Quercus phillyreoides</i> A. Gray *</u>

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1011 | **Table 43:** Characteristics of rainfall events considered erosive (threshold 12.7 mm) in Xingangshan, Jiangxi Province,
 1012 | **PR China in May and June 2013.**

Event	Mean intensity (mm h ⁻¹)	Peak intensity (mm h ⁻¹)	Total rainfall amount (mm)
E 1	1.38	11.4	20.29
E 2	2.34	23.04	25.74
E 3	3.19	45.24	54.42
E 4	14.60	83.04	34.01

← **Formatiert:** Zeilenabstand: Mehrere
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1033 | Table 24: Results of the basic linear mixed effect model for sediment discharge-delivery (***) : p<0.001, ** : p<0.01, * :
 1034 | p<0.05, . : p<0.1, n.s. : not significant; n=334). Crown cover was highly correlated with the four other vegetation
 1035 | characteristics and therefore, they have been exchanged and fitted in separate models.

		denDF	F	Pr	estimates
Fixed	Surface <u>Runoff</u> <u>volume</u>	204	49.0	<0.001 ***	0.33
effects	Crown cover	120	7.25	0.008 **	(-) 0.18
	Slope	141	1.33	0.250 n.s.	0.05
	Surface cover	140	56.1	<0.001 ***	(-) 0.46
	Soil organic matter	42	5.61	0.022 *	(-) 0.07
	Precipitation	70	0.12	0.733 n.s.	(-) 0.01
	Tree species richness	25	0.30	0.589 n.s.	0.05
		sd	variance		
Random	Precipitation event : plot	0.204	0.042		
effects	Tree composition	0.332	0.110		
	Site	0.577	0.333		
	Plot : rop	0.503	0.253		
<u>Vegetation characteristics fitted in exchange to crown cover</u>					
	Leaf area index	95	5.16	0.026 *	(-) 0.17
	Tree height	31	3.58	0.069 .	0.10
	Tree stem diameter	30	0.20	0.661 n.s.	(-) 0.04
	Tree crown width	31	0.79	0.383 n.s.	(-) 0.08

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1043 | Table 35: Mean sediment dischargedelivery in g m⁻² and surface runoff volume in L m⁻² (standard deviation in
 1044 | brackets, n=334) for tree species richness in May and June 2013.

	Diversit y 0-24	Diversit y 0	Diversit y 1-24	Diversit y 1	Diversit y 8	Diversit y 16	Diversit y 24
Sediment			188				
<u>dischargedelivery</u>	199	361	(90)	202	103	135	204
y	(106)	(187)		(105)	(57)	(123)	(107)
	32.6	47.8	29.8	31.9	27.5	22.5	30.2
Runoff volume	(21.4)	(32.1)	(18.5)	(20.9)	(14.5)	(15.7)	(19.7)

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1064 | **Table 46: ~~Discharge rates~~Sediment delivery and growth characteristics (means) of tree species with significant**
 1065 | **differences in ~~sediment discharge~~delivery at the experimental site in Xingangshan, Jiangxi Province, PR China.**

	Sediment discharge delivery (g m ⁻²)	Crown cover (%)	Leaf area index	Tree height (m)	Stem diameter (m)	Crown width (m)
Mean	199	32	0.75	1.84	0.03	0.94
Monocultures	202	22	0.63	1.63	0.02	0.78
Tree mixtures	135	44	1.18	2.30	0.04	1.26
<i>Ch. axillaris</i>	566	90	2.27	7.40	0.07	2.21
<i>C. glauca</i>	556	51	0.93	1.25	0.02	0.65
<i>R. chinensis</i>	502	47	0.85	1.82	0.03	1.62
<i>K. bipinnata</i>	378	19	0.30	1.97	0.03	1.15
<i>M. yuyuanensis</i>	64	11	0.14	1.62	0.04	0.95
<i>L. glaber</i>	114	20	0.28	2.32	0.03	1.09
<i>E. chinensis</i>	66	64	1.02	2.19	0.05	0.97
<i>L. formosana</i>	91	15	0.19	2.28	0.04	1.64

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1078 | **Table 57: Growth characteristics of the 20 tree species in monocultures analysed and associated plot characteristics in**
1079 **Xingangshan, Jiangxi Province, PR China (mean, standard deviation (sd), maximum (max) and minimum (min)).**

	Mean	Sd	Max	Min
<i>Vegetation</i>				
Crown cover (%)	37	31	93	1
Leaf area index	0.88	1.08	4.20	0.03
Tree height (m)	2.55	1.64	7.40	1.16
Stem diameter (m)	0.04	0.02	0.07	0.02
Crown width (m)	1.25	0.61	3.00	0.40
<i>Site</i>				
Soil surface cover (%)	16	14	55	1
Soil organic matter (%)	6.4	1.4	9.4	4.3
Slope (°)	27	5	35	19

1080 **Crown cover: proportion of soil surface area covered by crowns of live trees (%), leaf area index: one-sided green leaf area per unit**
1081 **soil surface area (dimensionless), tree height: distance from stem base to apical meristem (m), stem diameter: cross-section**
1082 **dimension of the tree stem at 5 cm above ground (m), crown width: length of longest spread from edge to edge across the crown (m),**
1083 **soil surface cover: proportion of soil surface area covered by stones, biocrusts and litter (%), soil organic matter: fraction of organic**
1084 **carbon containing substances in the soil (%), slope: inclination (°).**

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1095 ~~Table A1: 22 selected tree species used in the experiment according to the Flora of China (<http://www.efloras.org>).~~

1096 ~~Asterisks (*) mark species which only appear in mixtures.~~

Feldfunktion geändert

~~Species name and author~~

<i>Ailanthus altissima</i> (Miller) Swingle	<i>Koelreuteria bipinnata</i> Franch.
<i>Alniphyllum fortunei</i> (Hemsl.) Makino	<i>Liquidambar formosana</i> Hance
<i>Betula luminifera</i> H. Winkl.	<i>Lithocarpus glaber</i> (Thunb.) Nakai
<i>Castanea henryi</i> (Skan) Rehd. et Wils.	<i>Magnolia yunnanensis</i> Hu
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<i>Castanopsis sclerophylla</i> (Lindl.) Schott.	<i>Rhus chinensis</i> Mill.
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<i>Cyclobalanopsis glauca</i> (Thunb.) Oerst.	<i>Shima superba</i> Gardn. et Champ.
<i>Elaeocarpus chinensis</i> Gardn. et Champ.	<i>Triadica sebifera</i> (L.) Roxb.
<i>Elaeocarpus glabripetalus</i> Merr.	<i>Quercus fabri</i> Hance
<i>Elaeocarpus japonicus</i> Sieb. et Zucc.	<i>Quercus phillyreoides</i> A. Gray *

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1113 **Figure 1: Measurement setup showing a runoff plot (ROP, 0.4 m × 0.4 m) with reservoir and rainfall gauge on the**
1114 **experimental site in Xingangshan, Jiangxi Province, PR China.**

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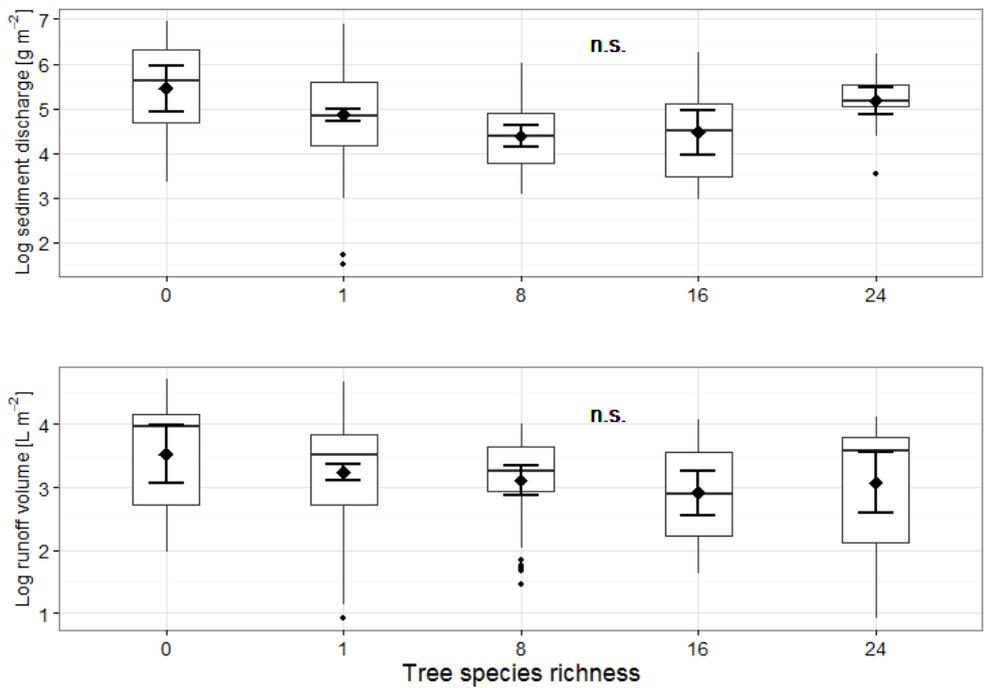
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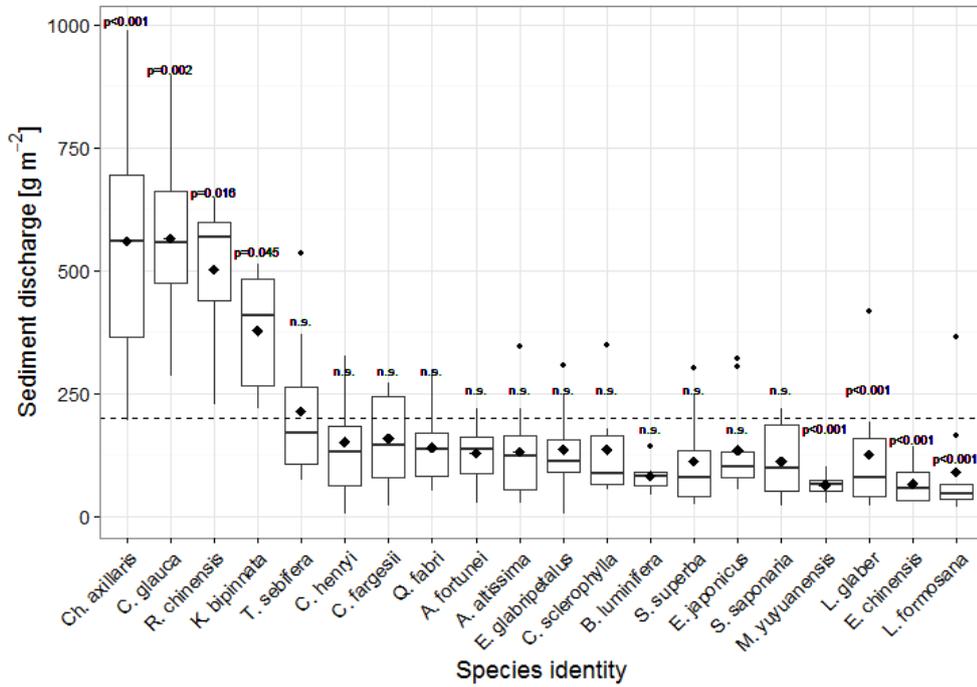
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 1122 | **Figure 2: Sediment ~~discharge-delivery~~ and runoff volume at five diversity levels based on four rainfall events in May**
 1123 **and June 2013 in Xingangshan, Jiangxi Province, PR China (n.s.: not significant, n=334). Horizontal line within**
 1124 **boxplot represents median and diamond represents mean.**

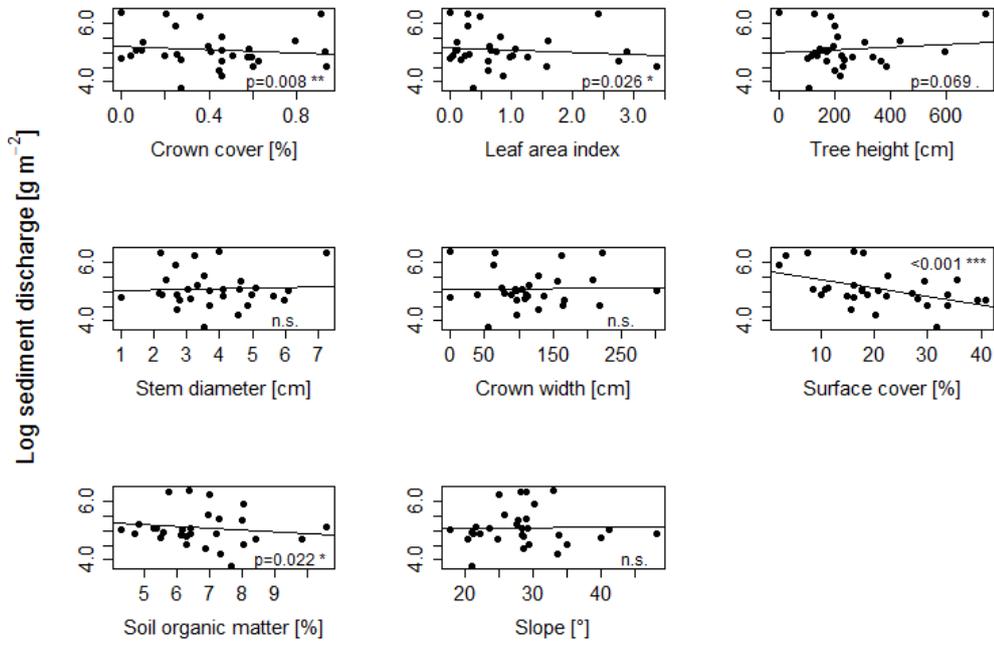
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 1137 Figure 3: Sediment **discharge-delivery** under 20 tree species in monocultures based on four rainfall events in May and
 1138 June 2013 in Xingangshan, Jiangxi Province, PR China. Dashed line indicates mean sediment **discharge-delivery** of all
 1139 20 species. Horizontal lines within boxplot represent median and diamonds represent mean values found for a
 1140 respective species.

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1153 | Figure 4: Effects of ~~tree~~ species-specific functional traits and site characteristics on sediment ~~discharge~~ delivery.

1154 | Analyses were based on four rainfall events in May and June 2013 in Xingangshan, Jiangxi Province, PR China.

1155 | Black lines symbolize linear trends.