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2 **Geospatial Variation of Grapevine Water Status, Soil Water**
3 **Availability, Grape Composition and Sensory Characteristics in**
4 **a Spatially Heterogeneous Premium Wine Grape Vineyard**

5
6 David R. Smart¹
7 Sallie Cosby Hess¹
8 Richard Plant²
9 Oliver Feihn³
10 Hildegarde Heymann¹
11 Susan Ebeler¹
12

13
14 ¹Department of Viticulture & Enology
15 University of California, Davis
16 One Shields Avenue
17 Davis, CA 95616-8749
18

19 ²Department of Plant Sciences
20 University of California, Davis
21 One Shields Avenue
22 Davis, CA 95616-8749
23

24 ³Department of Molecular and Cellular Biology
25 UC Davis Genome Center
26 451 Health Sciences Drive
27 University of California, Davis
28 One Shields Avenue
29 Davis, CA 95616-8749
30

31 **Corresponding Author:** David R. Smart
32 Department of Viticulture & Enology
33 University of California, Davis
34 One Shields Avenue
35 Davis, CA 95616-8749
36 Phone: 530-574-3929
37 Facsimile: 530-752-0382
38 Email: drsmart@ucdavis.edu
39

40 ABSTRACT

41 The geoscience component of terroir in wine grape production continues to be criticized
42 for its quasi-mystical nature, and lack of testable hypotheses. Nonetheless, recent
43 relational investigations are emerging and most involve water availability as captured by
44 available water capacity (AWC, texture) or plant available water (PAW) in the root zone
45 of soil as being a key factor. The second finding emerging may be that the degree of
46 microscale variability in PAW and other soil factors at the vineyard scale renders larger
47 regional characterizations questionable. Climatic variables like temperature are well
48 mixed, are its influence on wine characteristic is fairly well established. The influence of
49 mesogeology on mesoclimate factors has also been characterized to some extent. To
50 test the hypothesis that vine water status mirrors soil water availability, and controls fruit
51 sensory and chemical properties at the vineyard scale we examined such variables in a
52 iconic, selectively harvested premium winegrape vineyard in the Napa Valley of
53 California during 2007 and 2008 growing seasons. Geo-referenced data vines remained
54 as individual study units throughout data gathering and analysis. Cartographic exercises
55 using geographic information systems (GIS) were used to visualize geospatial variation
56 in soil and vine properties. Highly significant correlations ($P < 0.01$) emerged for pre-
57 dawn leaf water potential (Ψ_{PD}), mid-day leaf water potential (Ψ_L) and PAW, with berry
58 size, berry weight, pruning weights (canopy size) and soluble solids content ($^{\circ}\text{Brix}$).
59 Areas yielding grapes with perceived higher quality had vines with 1) lower leaf water
60 potential (LWP) both pre-dawn and mid-day, 2) smaller berry diameter and weight, 3)
61 lower pruning weights, and 4) higher $^{\circ}\text{Brix}$. A trained sensory panel found grapes from
62 the more water-stressed vines had significantly sweeter and softer pulp, absence of
63 vegetal character, and browner and crunchier seeds. Metabolomic analysis of the grape
64 skins showed significant differences in accumulation of amino acids and organic acids.

65 Data vines were categorized as non-stressed ($\Psi_{PD} \geq -7.9$ bars and $\Psi_L \geq -14.9$ bars) and
66 stressed ($\Psi_{PD} \leq -8.0$ bars and $\Psi_L \leq -15.0$ bars) and subjected to analysis of variance. 

67 Significant separation emerged for vines categorized as non-stressed versus stressed at
68 véraison, which correlated to the areas described as producing higher and lower quality
69 fruit. This report does not advocate the use of stress levels herein reported. The
70 vineyard was planted to a vigorous, deep rooted rootstock (*V. rupestris* cv St. George),
71 and from years of management is known to be able to withstand stress levels of the
72 magnitude we observed. Nonetheless, the results may suggest there is not a linear
73 relationship between physiological water stress and grape sensory characteristics, but
74 rather the presence of an inflection point controlling grape composition as well as
75 physiological development.
76

77 1. INTRODUCTION

78 1.1 *Geospatial Scale and the Concept of Terroir*

79 The concept of terroir as a space, time and anthropogenic continuum has received
80 much criticism for its quasi-mystical basis (Hancock, 1999), relation to marketing hoaxes
81 (Hugget, 2006, from Busby 1825) and errors in geological and climatological
82 interpretation. The quasi-mystical, non-quantifiable scientific hypothesis applied to terroir
83 is not unique. In its modern conception, terroir is theoretically similar to the ‘n-
84 dimensional hyper-volume’ concept of an ecological niche of G. Evelyn Hutchinson
85 (1957) that was widely accepted. Hutchinson’s theory considered that an environmental
86 continuum in n-dimensions constituted an ecological niche. This niche concept is
87 somewhat analogous to the n-dimensions of geologic, climatologic, microbiologic (*cf.*
88 Bokulich et al., 2014) and anthropogenic influences that are hypothesized to determine
89 wine sensory characteristics. A problem with a geoscience component of terroir is that
90 while climate and temperature characteristics are well mixed at the regional scale, soils
91 are extremely and abruptly heterogeneous at the local scale, thus rendering
92 questionable any broad generalizations.

93 The original concept of terroir seems to be 14th century Burgundy (Wilson, 2001),
94 where it did refer to geospatial properties of vineyards based on soils and fruit quality.
95 Thus soils have always formed an important dimension in the terroir continuum in spite
96 of our inability to define its scale. Terroir comes from the Latin root “terrae,” meaning
97 Earth, which may help to explain, even in its modern conception, its strong connection to
98 soils. Nonetheless, clear quantitative measures of geospatial ‘terroir’ at the regional
99 scale (macro- and mesogeology) are lacking, and for this reason in particular, geologic
100 terroir at these larger scales remains speculative (White, 2003). Bonfante and
101 colleagues (Bonfante et al., 2011) integrated several environmental variables including
102 soil properties in describing and mapping terroir. The effect of this kind descriptive

103 analysis is that it evens out microscale variation, while the primary model drivers like
104 crop water stress index (CWSI) and solar radiation interception are mostly influenced by
105 the geologic influence on mesoclimate variation. Reynolds and co-workers found
106 correspondence between flavor aromas, astringency, soluble solids and pH of Riesling
107 with soil texture (sand versus clay content) but the correlations were highly inconsistent
108 among vintages (1998-2002). The studied vineyard was only 4 hectares in size.
109 Nonetheless these emerging studies allow us to establish a basis for the nature of
110 geology and terroir.

111 Huggett (2006) reviewed the chemical nature of geological terroir and concluded
112 there were only a few specific cases where soil chemistry is unique to an area. For
113 example, she cites the calcareous soils of the Champagne AOC, but indicated it was
114 unclear that it imparts a clear characteristic on wines. Huggett points out one exception
115 may apply to saline areas where there may be a "slight saltiness of wines produced"
116 (Huggett, 2006). Reynolds and colleagues did a comprehensive analysis of geospatial
117 variation in soil sand, silt, clay content, pH and extractable P, K, Mg, Mn, Ca, Zn, Cu, Fe,
118 and B, and tissue concentrations of N, K, Mg, Ca and B, versus yield components and
119 must characteristics in a Riesling vineyard and found almost no consistent discernible
120 relationships. Soils are geospatially extremely diverse and abrupt changes can occur
121 even at the vineyard level. Thus, the definition of the notion of geospatial scale for terroir
122 is an important subject and still lacking definition. Greater than 80% of the grapevine root
123 system generally resides in the upper 1.2 m of soil depth, or less, depending on root
124 limiting horizons (Smart et al., 2006). The major macronutrients absorbed by vines (N, P,
125 K, Mg, Ca and S) can vary in soils as can the primary absorbed micronutrients of
126 importance (B, Zn, Mn, Mo, Fe and Cu). But fertilization procedures to correct
127 deficiencies for the above macro- and micronutrients and other chemical imbalances
128 through ground based and foliar fertilizer applications are generally well recognized and

129 the mitigation of deficiencies calls into question a relationship between soil mineralogy
130 and terroir. This report focuses more specifically on soil water and vine water relations in
131 response to geospatial variation of soil within a single vineyard. We posed the critical
132 question of whether or not it can impart unique sensory and chemical characteristics 

133 upon the fruit produced. Thus, it is a primary hypothesis of this report that the most
134 important factor conferring differences in fruit flavor and chemical profiles related to
135 geology and soils is the soil water reservoir. As early as 1825, James Busby recognized
136 factors such as good drainage and air porosity as critical in stating that “The conclusion
137 may even be drawn, that the intrinsic nature of the soil is of less importance, than that it
138 should be porous, free, and light.” (Busby, 1825).

139 In contrast to geology, climatic influences on fruit development are fairly well known
140 and described, and generally resolved using heat unit accumulation exercises (Amerine
141 and Winkler, 1944; Huglin, 1978; Coombe, 1987; Gladstones, 1992). Historic
142 development of regional appropriate varieties and growing systems is a clear result of
143 climatic influence on terroir. Tonietto and Carboneau (2006) recognized the geological
144 contribution of soil water and recently expanded upon the heat unit accumulation
145 approach at the regional level by creating a model incorporating a dryness index (DI),
146 which corresponded to the potential water balance of soil versus evapotranspiration
147 demand and its contribution to presence or absence of water stress (after Riou et al.,
148 1994). The DI was calculated as the balance between the regional average transpiration
149 demand and soil evaporation, weighted for precipitation and a beginning soil water
150 reservoir of 200 mm (W_0). Dry regions were those with water deficits based on the
151 above model and thus, negative soil water balances. The model was used to define
152 global wine growing regions in terms of variety, vintage quality and wine ‘typeness’. The
153 model doesn’t approach either meso- or microgeographic variation in soils where total
154 available water can range from 50 to >200 mm at the microgeologic (within vineyard)

155 scale depending on factors that influence depth like slope, parent material and historic
156 alluvial activity.

157 It is only from recent studies concerning mesoscale geologic (and climatic)
158 influences on terroir (Jones et al., 2004; Bonfante et al., 2011) that some information is
159 emerging on other environmental soil factors important to geologic terroir and that the
160 soils parameters of focus concerns available water capacity. But much of this effort has
161 really been directed towards the influence of mesogeology (10-100 km) on mesoclimate
162 forcing by factors like precipitation, altitude (Mateus et al., 2002; Miguel-Tabares et al.,
163 2002), slope and aspect (Failla et al., 2004; Jones et al., 2004; Shapland et al., 2012)
164 and vine water relations (Reynolds et al., 2007 and 2010; Zufferey and Murisier, 2006;
165 Zsofi et al., 2009). Soil minerology, on the other hand, has never really been brought to
166 bear upon the question of why the same cultivars may produce different vineyard
167 specific grape compositions as well as contributing to variation in wine styles of different
168 regions (but see Huggett, 2006). The analyses approaching this have generally been
169 conducted at small spatial scales (eg. from 1:24,000 to 1:250,000) Several aspects of a
170 growing area at large spatial resolution have indicated a high degree of spatial
171 heterogeneity and may allow for a more targeted understanding at an extremely local
172 level (Pierce and Nowak, 1999; Bramley, 2005; Reynolds et al., 2010; Scarlett et al.,
173 2014). Morlat and co-workers found within-appellation differences to be greater in some
174 cases than between-appellation differences (Morlat et al., 1984). These investigations
175 call into question the validity of a macro- or mesoscale level of geologic terroir.

176 Recent work on *Vitis vinifera* cv Cabernet Sauvignon vineyards in the Stellenbosch
177 region of South Africa (Carey et al., 2008), an area of mixed soils and volcanic uplift
178 much like the Napa Valley of California supports this contention. They employed the use
179 of 'natural terroir units' (NTUs) based on environmental and geological factors, linking
180 above-ground and below-ground influences into a single unit of study. The South African

181 researchers determined that their delineation method produced far too many units for
182 practical use and ultimately proposed a method of parameter simplification. Their
183 internal debate illustrates the difficulty inherent in attempts to characterize viticulture
184 areas in geological terms: when data is smoothed too much, important detail is lost, but
185 when detail is too great, patterns cannot be discerned. As a consequence, their debate
186 supports the hypothesis that geologic terroir may exist primarily at the microscale
187 (vineyard specific) level of interpretation. This report is concerned with understanding the
188 physiological basis of within vineyard heterogeneity. The primary hypothesis is that soil
189 water availability is the main factor contributing to within vineyard variation in fruit quality
190 in complex, hillside vineyards.

191 *1.2 The Influence of Vine Water Status on Fruit Composition*

192 A key component of management of premium quality winegrape vineyards in
193 California is water status (or stress) and a relatively large body of evidence exists for
194 water status, as indicated using measures of leaf water potential (LWP), influencing a
195 number of grape chemical and sensory attributes. In as much as one of the key
196 components of water provision and the time it takes for a vine to become stressed
197 (growth limitation) is the size of the soil water reservoir, we adopted total plant available
198 water in soil (PAW), pre-dawn LWP (Ψ_{PD}) and mid-day LWP (Ψ_L) as key factors to use in
199 establishing a physiological pattern of spatial variation across the subject vineyard.
200 Many previous investigations dealing with water stress have evaluated controlled
201 irrigation treatments based on percentage deficit amount versus grape crop
202 evapotranspiration (ET_c) or some arbitrarily chosen level of irrigation. We tested the
203 hypothesis that using LWP as a 'bio-indicator' in cartographic exercises would reveal
204 geospatial variability of the site in terms of vine PAW and fruit characteristics.

205 Measurement of LWP at midday (Ψ_L) is a well-known method of assessing grapevine
206 water status and serves as a relative metric of water stress condition (Smart and
207 Coombe, 1983; Williams and Matthews, 1990). Midday LWP (Ψ_L) can be influenced by
208 solar radiation, wind, vapor pressure deficit and temperature. Thus, it is not generally a
209 consistent measure of vine water status relative to the soil water status since the
210 environmental parameters can quickly change. Measuring LWP during pre-dawn hours
211 (Ψ_{PD}) provides an approximate estimate of soil water potential (Ψ_s) (van Zyl, 1987), but
212 see Donovan and colleagues (Donovan et al., 2003) where in some extreme conditions
213 a Ψ_{PD}/Ψ_s disequilibrium exists. While Ψ_L and Ψ_{PD} of grapevine have been shown to be
214 highly correlated (Williams and Araujo, 2002), measuring LWP at pre-dawn is still
215 important to this study because stomates are mostly closed and the influence of ambient
216 factors on Ψ_L that might compromise the detection of micro-geospatial differences in the
217 soil water reservoir, like wind and local vapor pressure deficit, are removed from the
218 equation (Correia et al., 1995).

219 Water deficits that result in Ψ_L of less than approximately -1.0 MPa generally slow or
220 arrest growth of grapevine and diminish fruit set. Fruit yield declines through decreased
221 berry number and decreased berry size (Matthews et al., 1987; Medrano et al., 2003). A
222 decrease in berry size can sometimes lead to higher specific phenolic concentration
223 (Esteban et al., 2001), but what has often been cited as the reason for increase in
224 phenolic concentration was an increase in surface area (skin) to volume (pulp) ratio.
225 Thus, lowered water potential does not appear to be the sole mechanism (Roby et al.,
226 2004); nonetheless, there are more polyphenols in smaller, water-restricted grapes.
227 Other factors seem to be related to the fact that smaller grapes tend to have higher
228 polyphenolic concentrations in and of themselves (Roby et al., 2004; Chapman et al.,
229 2004). Nonetheless, a positive relationship between more negative LWP and an

230 increase of both gross concentration of polyphenols and the smaller population of non-
231 water extractable polyphenols has been demonstrated (Sivilotti et al., 2005). This was
232 accomplished by extracting in ethanol (EtOH). Although extracting with EtOH is not a
233 complete analog to fermentation and maceration, the results give insight into the links
234 between water stress and the development of berry compounds that may translate into
235 wine constituents.

236 Low vegetative growth due to restricted photosynthetic activity has been extensively
237 linked to water stress (Escalona et al., 2002; Flexas et al., 1998; Liu et al., 1978; Schultz
238 and Matthews, 1988; Winkel and Rambal, 1993). The direct effect of water stress on
239 expansive vegetative growth varies somewhat among cultivars (Flexas et al., 2002;
240 Gomez-del-Campo et al., 2002; Kaiser et al., 2004a; Kaiser et al., 2004b; Medrano et al.,
241 2003; Mullins et al., 1998; Silvestroni et al., 2004). Water status is therefore a consistent
242 predictor of decreased or arrested expansive vine growth, or 'vigor'. Deficit irrigation, for
243 example, can cause a difference of up to 61 percent in a grape yield (Alleweldt and Ruhl,
244 1982). Expansive growth may be a good indicator of vintage quality, as excessive vine
245 foliage production (vigor) has been shown to be correlated with lower polyphenol
246 concentrations (Cortell et al., 2005). However, the mechanism by which a decrease in
247 polyphenols occurs is unknown. Sun exposure has been positively correlated with
248 phenolic concentration (Crippen and Morrison, 1986). Production of canopy foliage (i.e.,
249 vigor) is often reduced under stress conditions, allowing more sunlight into the fruiting
250 zone. Thus, increased light exposure as a contributing factor in the role of water stress
251 in phenolic development cannot be ruled out, even though it is unlikely that sunlight is
252 the only driving factor in phenolic development across all of the preceding studies.

253 A primary objective of the investigation described here was to approach the
254 hypothesis that sensory attributes of fruit would have patterns similar to those detected
255 in terms of the soil water reservoir and vine water status (Ψ_L and Ψ_{PD}) at the

256 microgeologic scale. Grape aroma compounds beyond those that have been shown to
257 contribute to vegetal vs. fruity character of wines are important factors in describing
258 varietal characteristic and overall wine quality (Ebeler and Noble, 2000). Elevation of
259 organic acid concentrations in fruit of well-irrigated vines has been demonstrated
260 (Bravdo et al., 1985; Esteban et al., 1999; Hepner et al., 1985) and has been considered
261 a mark of low quality. Some reports have found soluble solids (sugars) to be unaffected
262 by water application (Ballatore et al., 1970; Esteban et al., 1999; Sivilotti et al., 2005). In
263 cases of severe drought (De La Hera Orts et al., 2004), sugar ripening has been
264 reported to be restricted and in this case it is likely caused by limited photosynthetic
265 activity, which is less sensitive to low leaf water potentials than expansive growth.
266 However, a greater number of reports show evidence of an increase in sugar and
267 decrease in acidity under water stress conditions (Bravdo et al., 1985; Jackson and
268 Lombard, 1993; Koundouras et al., 2006; Seguin, 1983; Tregoat et al., 2002).

269 This study sought to understand relationships between physiological responses of
270 vines based on vine available soil water within the vineyard (PAW), and sensory and
271 metabolomic analyses. Given the large body of evidence (above) for water availability
272 and mild stress conditions influencing flavor and mouthfeel constituents, regardless of
273 mechanism, it was expected that chemical and sensory differences would correspond to
274 physiological phenomena such as: 1) Ψ_{PD} and Ψ_L , 2) berry size, 3) pruning weights as a
275 proxy for canopy leaf area, and 4) soluble solids content. A primary hypothesis we
276 tested was that vines exhibiting physiological signs of water stress (lower LWP, smaller
277 berries, lower pruning weights) should yield berries with different sensory and chemical
278 profiles.

279

280 2. MATERIALS AND METHODS

281 2.1 Vineyard Site Location

282 St.  Leap Vineyard 4 (SLV 4, 38°24'4.65"N by 122°18'55.62"W) was planted in
283 1973. It's a 2.28 ha (5.36-acre) vineyard of *Vitis vinifera* cv. Cabernet Sauvignon (var.
284 Concannon) on St. George rootstock (*V. rupestris*) with a 12- by 7-foot row by vine
285 spacing and trained to bilateral cordons on a U trellis. Vine rows were laid out in a
286 general northeast-southwest orientation. SLV 4 was an older planting (35 y) and many
287 vine cordons have been infected with *Eutypa* spp. wood disease, so replanting and
288 cordon re-establishment has resulted in a somewhat age diverse vine environment, both
289 in terms of overall vine and cordon age. Every vine in SLV 4 was evaluated for probable
290 age, state of cordons, and a detailed map of the vineyard created to aid data vine
291 selection. From that map, vines from the original planting with two mostly original
292 cordons were chosen from the top, middle, and bottom of the slope, at regular intervals
293 (rows 2, 6, 10, 14 etc.). Where possible, vines surrounded by similar aged individuals
294 were preferentially selected. Data vines were chosen from random locations using a
295 vineyard map rather than while in the field to avoid visual bias. The vineyard was divided
296 into three irrigation blocks, so an equal number of vines were chosen for irrigation-blocks
297 4N, 4C, and 4S (for North, Center and South, Figure 1).

298 2.2 Physiological Measurements

299 Physiological data were collected starting at bloom in 2007 on an original group of 36
300 geo-referenced data vines. Thirty five additional data vines, taken from the intervening
301 rows, were added at véraison in 2007 to give greater spatial resolution to the
302 cartographic exercises described below. For some more labor-intensive or costly tests, a
303 smaller subset of 12 to 36 representative vines from the original 36 were used. All
304 irregularities were accounted for in the statistical analysis. Data vines were geo-

305 referenced using a hand-held GPS unit (Trimble Ag GPS 132 using TDS Recon and
306 running HGIS ARM), and imported into ArcGIS for spatial statistical analysis.

307 Vine physiological data (Ψ_L , Ψ_{PD} , berry size and weight, Brix, cane production) were
308 taken from geo-referenced data vines at the major developmental stages of bloom, pea-
309 size berries, véraison, harvest and dormancy. Timing of phenological stages is variable
310 depending on climate, cultivar, and geographic location, taking measurements at defined
311 stages allowed for seasonal continuity for comparison of the data across the 2007 and
312 2008 vintages (Jones and Davis, 2000). Calendar dates for each phenological stage
313 above during 2007 and 2008 were remarkably similar.

314 Leaf water potential (LWP) was measured at bloom, pea-size, and véraison using a
315 pressure chamber with a 0.5L chamber (Soil Moisture Equipment Corp. model 3008
316 Santa Barbara, CA). Fully expanded sunlit leaves (Ψ_L) were sampled in duplicate
317 (triplicate if there was a leaf to leaf discrepancy of +/-0.5 bars or greater) at midday (Ψ_L ,
318 1-3 p.m.) and pre-dawn (Ψ_{PD} , 3-6 a.m.). Leaves for pre-dawn sampling were taken from
319 the same geo-referenced data vines and position in the canopy as those taken at
320 midday.

321 Berry diameter was measured shortly after véraison using digital calipers (Mitutoyo
322 model 500-682 Aurora, IL). From each of the vines sampled, 36 berries were measured
323 by selecting one each of a perceived large and small berry from 18 randomly selected
324 clusters in the canopy. Berry samples were taken at harvest by randomly picking 100
325 berries (blind) from each of the 71 data vines, from different locations along the vine
326 cordon and within the cluster. Berries were re-counted upon returning to the laboratory
327 from the field, weighed as a group and means were taken. Berry samples were kept on
328 ice in a cooler in the field and through weighing. They were transferred to a freezer (-
329 20°C) immediately after weighing.

330 Dormant grapevine canes were pruned from the cordons to one-bud spurs according
331 to conventional management practice at SLV 4 in February 2007 and February 2008.
332 Bundled canes were weighed with a field balance. The resulting 'pruning weight'
333 represents an approximate measurement of shoot dry matter accumulation during the
334 previous season, and thus a relative measure of canopy size (vigor). All vines were
335 under similar evaporative conditions throughout the winter months, so field-measured
336 pruning weights were considered to be adequate to meet this goal.

337 Soluble solids (°Brix) were measured using an Atago pocket refractometer (Atago,
338 model PAL-1 #3810, Bellevue, WA). Three randomly selected grapes from each data
339 vine sampled in 2007 and 2008 were thawed for 2 hours and crushed. Approximately 1
340 mL of liquid exudate from each crushing was placed on the refractometer and read for
341 °Brix.

342 2.3 Soil Assessment

343 SLV 4 sits on a southwest-facing slope with highly developed volcanic soils of mixed
344 Boomer-Forward-Felta complex, consisting of a Fine-loamy, mixed, superactive, mesic
345 Ultic Haploxeralf; a Medial, mixed, mesic Typic Vitrixerand; and, a Loamy-skeletal,
346 mixed, superactive, thermic Pachic Argixeroll, respectively. Boreholes were taken at 36
347 of the original geo-referenced data vines in May of 2008 using a high-pressure hydraulic
348 tool (Geoprobe Systems model 66DT, Salina, Kansas). At many locations, the
349 'Geoprobe' was unable to penetrate to 1.0 m because of bedrock layers, but where
350 possible, cores were taken to a depth of 1.0 to 1.2 meters. Accurate estimates of rooting
351 depth (to bedrock) were facilitated for most boreholes by estimating depth to either
352 bedrock or a root limiting argillic horizon. For the deeper soils, rooting depth was
353 estimated at 1.2 m (Smart et al., 2006). The Geoprobe often crushed layers, causing
354 backsliding from the soil tubes but care was taken to make as accurate an estimation of

355 effective rooting depth (ERD) as possible (to horizons where roots were absent or
 356 scarce). Soil cores were separated into horizons using color and texture by feel. Each
 357 horizon was dried sieved at 2 mm and tested for soil pH, particle size distribution (sand,
 358 silt, clay content), and moisture retention at 0.033 MPa and 1.5 MPa applied pressure. A
 359 soil based plant available water (PAW) was calculated as:

$$360 \quad PAW = (\theta_v 0.033 \text{ kPa} - \theta_v 1.5 \text{ kPa})/100 \times BD \times (1 - \text{rock fraction}) \times \text{depth (mm)} \quad \text{eq. 1}$$

361 where θ_v is volumetric water content (%) of the <2 mm particle size fraction and BD is
 362 bulk density (g cm^{-3}).

363 Mineral soil samples (<2 mm fraction) were analyzed by the UC Davis Agriculture
 364 and Natural Resources (DANR) Analytical Laboratory according to their standard
 365 procedures. Soil pH was determined in a saturated paste using a pH electrode,
 366 according to USDA Agricultural Handbook 60 (Staff, 1954). The method has
 367 reproducibility within 0.2 pH units. Soil texture was analyzed by hydrometer suspension,
 368 using sodium hexametaphosphate solution to disperse soil aggregates (Sheldrick and
 369 Wang, 1993). Analysis of the retention of moisture from field capacity (0.033 kPa
 370 pressure), was conducted on the <2 mm particle size fraction using a pressure plate
 371 (Klute, 1986). Pressure was applied at 0.033 MPa and 1.5 MPa, to approximate the soil
 372 moisture retention at field capacity (FC) and the wilting point (PWP), respectively.

373 2.4 Cartographic Exercises

374 Geographic information system software (ArcGIS, ESRI, Redland, CA) was used to
 375 geospatially characterize the vineyard. Maps were created using the Universal
 376 Transverse Mercator (UTM) graticule and the North American Data system of 1984
 377 (NAD 1984). ArcGIS was used to correlate the geo-referenced vineyard data vine
 378 location with Ψ_L , Ψ_{PD} , berry weight, berry diameter, and pruning weight. Using ordinary

379 kriging analysis paired with vector analysis, it was possible to map and measure the
380 areas of those differences. Ordinary kriging enables statistical interpolation of areas
381 surrounding spatially explicit data points to generate predictions about the spatial extent
382 of the variable of interest, so interpolating the physiological data from the 71 data vines
383 with ordinary kriging was used to characterize the larger set of 2,373 vines in SLV 4.

384 Predictive maps generated with ordinary kriging employed a spherical model that
385 included 5 “neighbor” data points for the data sets of 71 geo-referenced vines and 3
386 neighbor data points for 2007 and soil sets containing 36 geo-referenced individuals.
387 This model was used after testing other available models as well as higher and lower
388 quantities of neighbors. The 5-member Spherical model produced the lowest root mean

389 square (RMS), standard errors closest to zero, and standardized RMS closest to 1.0. For
390 some data sets, the K-Bessel and J-Bessel models produced smaller differences
391 between the average standard error and the RMS, which is suggested by ESRI,
392 (ArcGIS, Redlands California USA), as the deciding factor when examining the many
393 possible statistical outcomes of kriging. However, when performing a manual check of
394 the prediction vs. actual measured values, the Spherical model showed much greater
395 accuracy across all data sets. As discussed above, during kriging the weighting factor of
396 neighbor data points decreases with increasing distance, so as both soil and
397 physiological changes were abrupt, and tight resolution of within-vineyard variability was
398 the goal, increasing the number of neighbor data points included in the model created
399 greater smoothness and therefore undesirable for the objectives of this investigation.

400 The same model was used for all predictive maps. The Kriging exercises were then
401 converted to vector format for measurement of the areas classified by interpolation.

402 *2.5 Sensory Evaluation*

403 We are not aware of rigorous sensory evaluation of grape fruit in the study of terroir.
404 Most studies have concentrated on must or wines in an attempt to describe both variety
405 characteristic and heightened quality for specific cultivars (Abbott et al., 1991; Falque et
406 al., 2004; Francis et al., 1992; Heymann and Noble, 1987; Ohkubo et al., 1987; Preston
407 et al., 2008; Vilanova et al., 2009; Bonfante et al., 2011). Grapes rather than wine were
408 analyzed in this report for several reasons: First, the commercial value of the fruit was
409 way too high to allow for microvinification studies. Secondly, the spatial resolution
410 needed for this investigation was at a very large scale (an approximate 1:2500 map
411 scale) and thus it would be nearly impossible to carry out sufficient microvinifications.
412 Thirdly, if grapes from many vines were to be combined to create wine, spatial resolution
413 needed for geostatistical analysis would be lost. In addition, micro-fermentation
414 continues to confound researchers (Graves, 2008), and consistency of results has not
415 yet been achieved. Finally, as this study was concerned with differences in berry
416 constituents that could be present in trace amounts, it was determined that berries would
417 be a preferable testing medium, both for sensory and chemical trials.

418 A sensory panel of 6 individuals trained with Cabernet Sauvignon grapes blind-tasted
419 6 previously frozen grapes per data vine using descriptive analysis procedures (Lawless
420 and Heymann, 1987). Beyond the superior convenience of working with frozen grapes,
421 preliminary work has shown that previously frozen berries show better separation in
422 sensory trials than fresh. Grapes were tested individually, and each panelist dissected
423 the grape for evaluation of skin, pulp, and seeds individually for 18 parameters: squishy
424 pulp, dissolvable pulp, sweet pulp, sour pulp, thick skin, bitter skin, sour skin, astringent
425 skin, vegetal skin, fruity skin, raisined skin, green seed, brown seed, hard seed, crunchy
426 seed, bitter seed, astringent seed, nutty seed. Sensory parameters were selected by the
427 panel during a preliminary consensus training session but largely followed established

428 methods (Rousseau, 2001) and conformed to previously measured characteristics both
429 of grapes in general and Cabernet Sauvignon in particular (Heymann and Noble, 1987).

430 Following analysis of the sensory evaluation, data vines were separated into two
431 groups - those with midday véraison $\Psi_L > -14.9$ bar (non-severely stressed) and those
432 with $\Psi_L \leq -15.0$ bar (severely stressed). The groups are heretofore referred to as the
433 categories of non-stressed and stressed. Vines were divided in the same manner using
434 pre-dawn LWP measurements: non- severely stressed individuals had $\Psi_{PD} > -7.9$ bars
435 while stressed individuals had $\Psi_{PD} \leq -8.0$ bars. Analysis of variance using least squares
436 means (LS means) were conducted using other levels of LWP divisions (-13.0, -14.0,
437 and -16.0 bar for Ψ_L , and -6.0, -7.0 and -9.0 bar for Ψ_{PD}). LWP divisions of -8.0 and -15.0
438 bars (Ψ_{PD} and Ψ_L respectively) had the most significant results across all categories,
439 both for sensory and physiological data.

440 2.6 Metabolomics Analysis

441 Sixty samples from each year were used for metabolomic analysis by GC-TOFMS
442 (Kind et al., 2009). For each year, 30 data vines were randomly selected from Group 1
443 and Group 2. Ten grapes from each of the selected data vines were peeled and skins
444 rinsed twice with deionized (DI) water. The skins were then freeze-dried in an FTS
445 Systems Dura-Dry freeze dryer (FTS Systems, Stone Ridge, NY) to afford easier
446 handling. Fresh berry tissue was kept frozen throughout the grinding and extraction
447 steps that followed to prevent enzyme activity and subsequent changes in berry
448 composition. Each skin sample was ground in a ball-bearing grinder for 60 seconds.
449 Extractions of 5 mg, 2.5 mg, and 1 mg of grape skin were tested. Five-mg samples
450 contained too much sugar, obscuring many metabolite peaks, and 1-mg samples
451 insufficient for detection of a range of metabolite peaks. All samples were thus prepared
452 using 2.5 mg of freeze-dried grape skin.

453 The skins were extracted with 1.5 mL cold (-20°C) 5:2:2 vol/vol
454 methanol:chloroform:water (MeOH:CCl₄:H₂O) solvent, vortexed for 10 seconds, placed
455 on an agitator for 20 minutes at room temperature (approx. 22°C), and centrifuged for 3
456 minutes at 14000 relative centrifugal force (RCF). A subsample of 35 µL of supernatant
457 was then transferred to sterile Eppendorf tubes, evaporated in a vacuum chamber for 1
458 hour, and transferred to a freezer (-20°C) until just prior to injection, at which point the
459 samples were derivatized using 10 µL of 40mg/mL methoxylation (MeOX) and agitated
460 90min at 30°C at maximum speed. 2 µL of fatty acid methyl esters (FAMES) and 90µL of
461 2,2,2-trifluoro-N-methyl-N-trimethylsilyl-acetamide (MSTFA) were then added to increase
462 the volatility of metabolites, and the samples agitated again for 30 min at 37°C at
463 maximum speed. Each sample was prepared in triplicate and injected twice, for a total
464 of 6 injections per sample. Results of the current investigation were compared against
465 libraries based on a fatty-acid methyl ester retention index system and were established
466 by GC/MS based on time-of-flight mass spectrometry (GC-TOF) and quadrupole mass
467 spectrometry (GC-Quad) (KIND et al., 2009).

468 2.1 *Statistical Approach*

469 Leaf water potential, pruning weight, °Brix, berry weight, and berry diameter were
470 evaluated by linear regression using SYSTAT Systems (2008). All results -
471 physiological, sensory, and chemical - were evaluated by *ANOVA using LS means* 
472 SAS statistical software (SAS, 2008). Vines were divided into unstressed and stressed
473 groups for ANOVA and LS means testing by the above-mentioned LWP-based stress
474 groups. Significance was designated at 95% certainty ($p \leq 0.05$).

475 3. RESULTS

476 3.1 *Physiological Data*

477 Leaf water potential (ψ_{PD} and ψ_L) was extremely variable across the vineyard (see
478 Table I). In both years, some vines had LWPs more negative at bloom (late May) than
479 others had achieved by véraison (mid-August, Table I). There was a difference in LWP
480 between lowest and highest observations for ψ_{PD} of -10.1 bars in 2007 at véraison, while
481 it was nearly identical at -10.8 bars in 2008. For ψ_L the difference at véraison was -7.5
482 bars in 2007 and -8.5 bars in 2008 with the extreme observations being very similar
483 (Table I). The geospatial pattern for ψ_{PD} and ψ_L was highly consistent between vintages
484 (Figures 2 and 3). This difference did not converge as the season progressed in either
485 year; rather, the range of differences across the vineyard continued to increase from
486 bloom up to the pea-size phenological stage and véraison (Table I).

487 The number of vines for both years that fell into either the more stressed ($\psi_{PD} \leq -8.0$
488 bars and $\psi_L \leq -15.0$ bars at véraison) or the 'less stressed' ($\psi_{PD} \geq -7.9$ bars and $\psi_L \geq -$
489 14.9 bars) categories of vines was approximately equal. While the number of vines in
490 each category remained largely the same at véraison for ψ_{PD} and ψ_L observations in
491 2007, the 2008 categories showed a slightly greater number of vines (7) that did not fit
492 the above category of 'water stressed' at pre-dawn but then did fit into the stressed
493 category of vines at mid-day.

494 Physiological parameters measured (e.g. ψ_{PD} , ψ_L berry diameter and weight, °Brix
495 and pruning weight) showed similar geospatial patterns of variation across the vineyard
496 (Figures 2, 3, 4, 5, 6, and 7), and were also very consistent across the 2007 and 2008
497 vintages (Figures 8 and 9). The correlations with LWP were consistent with perhaps the
498 exception of °Brix. The correlations with pre-dawn LWP at véraison ($P \leq 0.01$) in 2007
499 were $r^2 = 0.616, 0.626, 0.144$ and 0.541 for berry diameter, berry weight, °Brix and

500 pruning weight, respectively, and the correlations with mid-day LWP were $r^2 = 0.607$,
 501 0.675, 0.120 and 0.341 for the same respective parameters. In a like manner, the
 502 correlations of pre-dawn LWP at véraison in 2008 were $r^2 = 0.191$, 0.593, 0.163 and
 503 0.466 for berry diameter, berry weight, °Brix and pruning weight and were also highly
 504 statistically significant ($P < 0.01$). The correlations with mid-day LWP at véraison in 2008
 505 were also significant ($P < 0.05$) with $r^2 = 0.307$, 0.473, 0.100 and 0.513, respectively for
 506 berry diameter, berry weight, °Brix and pruning weight. This indicated a surprising
 507 degree of consistency for the two seasons.

508 Maps of both water stress indicators (ψ_{PD} and ψ_L) at the pea-size phenologic stage
 509 showed consistent patterns across both years (see Figures 2 and 3). But the correlations
 510 of ψ_{PD} and ψ_L at the pea-size phenologic stage (berry expansion) was not as good in
 511 2007, but we note the full complement of data vines had not been established at the
 512 pea-size stage in 2007. The correlations with pre-dawn LWP at pea-size ($P < 0.05$) in
 513 2007, 60.5 mm precipitation Mar-May, were $r^2 = 0.085$, 0.081, 0.012 and 0.095 for berry
 514 diameter, berry weight, °Brix and pruning weight, respectively, and the correlations with
 515 mid-day LWP were $r^2 = 0.185$, 0.185, 0.006 and 0.048 for the same respective
 516 parameters. However, the correlations of pre-dawn LWP at the pea-size stage in 2008,
 517 7.9 mm precipitation Mar-May, were $r^2 = 0.413$, 0.554, 0.215 and 0.394 for berry
 518 diameter, berry weight, °Brix and pruning weight and were statistically significant
 519 ($P < 0.01$). The correlations with mid-day LWP at the pea-size phenological stage in 2008
 520 were also statistically significant ($P < 0.01$) with $r^2 = 0.378$, 0.466, 0.176 and 0.296,
 521 respectively for berry diameter, berry weight, °Brix and pruning weight.

522 The berry size (diameter and weight) showed the least overall variation across the
 523 vineyard with only a less than 3 mm difference in diameter in 2007 and 2008 and less
 524 than a half gram difference in weight (Table II). Pruning weights, on the other hand had

525 nearly a 4 fold difference (kg) among data vines and °Brix, surprisingly, had a range of
526 difference greater than 7 °Brix units (Table II) in both 2007 (7.2) and 2008 (7.7), but
527 similar geospatial patterns emerged (Figure 6). While pruning weight showed the
528 greatest change between 2007 and 2008 at -20.8% of the mean (Table II), it
529 nonetheless showed good correlations with water status, both ψ_{PD} and ψ_L in both
530 seasons (see Figures 8 and 9). Pruning weight was also the most highly variable among
531 data vines. The range of difference observed between the highest and lowest pruning
532 weights were 3.83 kg in 2007 and 3.24 kg in 2008 (Table III) or more than a 4-fold
533 difference.

534 The maps generated for each of the fruit size characteristics visually corresponded
535 very well to those of ψ_{PD} and ψ_L , (eg. compare Figures 2 and 3 with 4, and 5) showing a
536 discernable pattern with a 'kidney-shaped' center of lowered water status. The
537 interpolated kriging exercises for ψ_{PD} and ψ_L at véraison (Figures 10 and 11, right
538 panel) were also similar in spatial pattern with that of berry diameter and weight (Figures
539 4 and 5) and correlations were generally statistically significant in 2007 and 2008 at
540 véraison ($p < 0.01$ Figures 8 and 9). The cartographic exercises for pruning weight
541 (Figure 7) also fit well with the ψ_{PD} and ψ_L geospatial patterns with pruning weights
542 being from 2 to 4 fold lower in the areas with vines categorized as more stressed. Again
543 the correlations were highly statistically significant in 2007 and 2008 ($p < 0.001$ Figures 8
544 and 9). The cartographic exercises for °Brix also displayed the same kidney shaped
545 pattern but were surprisingly less well correlated with leaf water status at véraison, with
546 $r^2 = 0.176$ in 2007 and $r^2 = 0.100$ in 2008 (Figures 8 and 9). Nonetheless it should be
547 pointed out the area with advanced sugar ripening (°Brix > 24.0, Figure 6) corresponded
548 well with the area found to have lower water status (Figures 2, 3, 10 and 11). The area

549 found on the eastern edge of the northern end of Block 4C was particularly striking in
550 that it displayed higher °Brix, lower berry weight, lower pruning weight, and smaller berry
551 diameter very consistently across both years. This area corresponded to the area of
552 greatest water stress found in SLV 4.

553 As discussed above, the fruit and vine parameters we measured were generally
554 statistically significantly correlated ($P \leq 0.05$) with the physiological measurements of
555 vine water status at véraison (ψ_{PD} and ψ_L , Figures 8 and 9). Further, when vines were
556 grouped according to vine water status categories of mid-day LWP more positive than -
557 15.0 bars (non-stressed) and more negative than -15.0 bars (stressed), the results were
558 also generally highly statistically significant (Table III) with few exceptions. The two
559 parameters that showed best linear correlations with ψ_{PD} and ψ_L , pruning weight and
560 berry weight at véraison also showed the highest level of being statistically significantly
561 different when evaluated using analysis of variance ($p \leq 0.0001$ for all seasonal water
562 status measurements, Table III). These corresponded to areas of lower berry weights
563 (Figure 5) and pruning weights (Figure 7) as well as lower LWPs (see Figures 10 and
564 11, left panel for generalized areas with more stressed vines).

565 Analysis of the soil wetness index using geographic information systems (ArcGIS)
566 ruled out slope-related geomorphic controls on water availability. Maps showing
567 measured vine water status (Figures 2, 3, 10 and 11)) indicated bands of lowered vine
568 LWP radiating out from a central area rather than exhibiting a slope-related pattern of
569 bands (down the slope). This suggested there was a soil-related difference influencing
570 water-holding capacity independent of position on the hillslope. Patterns in soil texture
571 (Figure 13) corresponded well to moisture-release since the fraction analyzed was the <
572 2 mm particle size fraction, particularly the soil clay content, but were not well
573 representative of the patterns observed for ψ_{PD} and ψ_L . Rather, they appeared in areas

574 more related to transitional soils, representing a confluence of the areas of rocky, well-
 575 developed volcanic soils where water status was categorized as stressed, and areas of
 576 lesser vine stress where soils were deep, rich and composed primarily of alluvial
 577 deposits from historic events and changes in stream channels.

578 The changes we observed in soil plant available water (PAW) were surprisingly 
 579 abrupt for area of approximately two and one quarter hectares (Figure 12, right) and also
 580 did not reflect a downslope pattern. Total plant available water varied throughout a range
 581 of from 68.5 mm to 177.5 mm of water. Soil texture (sand and clay) were not statistically
 582 significantly correlated with soil PAW with $r^2 = 0.017$ ($p = 0.445$) for PAW versus sand
 583 content, and $r^2 = 0.060$ ($p = 0.147$) for PAW versus clay content. The mapping exercises
 584 (Figure 13) represent weighted mean averages (depth) for sand and clay content, but
 585 extensive horizonation renders these interpolations questionable and points to effective
 586 rooting depth as a primary factor controlling vine water status. PAW showed statistically
 587 significant correlations ($P < 0.01$, Figure 14) with the physiological parameters of ψ_{PD} , (r^2
 588 = 0.146) and ψ_L ($r^2 = 0.283$), berry diameter ($r^2 = 0.301$), berry weight ($r^2 = 0.436$) and
 589 pruning weights ($r^2 = 0.256$).

590 3.2 Sensory

591 Findings of the sensory panel were evaluated by ANOVA using the -15.0 bars standard
 592 for mid-day LWP (see Materials & Methods) and -8.0 bars for pre-dawn LWP as a
 593 division between water stressed and non-water stressed groups respectively. The panel
 594 found significant differences for 8 of 18 parameters between the two mid-day groups and
 595 for 9 of 18 parameters for the two pre-dawn groups. Grapes from less water stressed
 596 vine category non-stressed were described as having sour pulp; thick, bitter, sour, and
 597 vegetal skin; green, hard, bitter, and astringent seeds (Figure 15). Grapes from vines in
 598 the more water stressed category were described as having squishy, sweet, dissolvable

599 pulp; raisined skin; and brown, crunchy, and nutty seeds (Figure 15). Measured
600 variables account for 87% of the variance.

601 3.3 *Chemical Profiling*

602 Metabolomic analysis yielded information on 67 known compounds and 128 unknown
603 compounds (Figure 16). When subjected to ANOVA, water-status categories were
604 statistically significantly different for 15 compounds (11 known and 4 unknown). These
605 differences followed a distinct pattern among the known compounds: 5 were amino acids
606 (leucine, valine, isoleucine, phenylalanine and tryptophan) found in greater abundance in
607 the more stressed vines, and 5 were organic acids (idonic, threonic, shikimic, malic and
608 citric), found in greater abundance in the category of non-water stressed vines. The
609 eleventh was conduritol-beta-epoxide, a compound that inhibits alpha-glucosidase
610 activity in both animals and plants and is sometimes considered a natural antibiotic, and
611 found in greater abundance in the stressed vines. There were more significant
612 differences between the 2007 and 2008 seasons (20 known and 28 unknown
613 compounds differed), than between water stress category, though the between year
614 results do not form as consistent a pattern as did water stress category (see Figure 16).
615 Measured variables account for 100% of the variance.

616 **4. DISCUSSION**

617 We examined the hypothesis that plant available water in soil (PAW), and geospatial
618 heterogeneity thereof, has a major influence on wine grape leaf status and, in turn, fruit
619 chemical and sensory properties. Our observations for a complex slopes vineyard in the
620 Stags Leap American Viticulture Area of the Napa Valley supported this contention, but
621 will require further verification in other 'less complex' vineyard settings.

622 4.1 *Geospatial Variation in Vine Physiology*

623 Our results were more consistent over vintage as compared with other emerging
624 investigations examining a relationship between the soil water reservoir with
625 physiological variables of importance to wine grape quality. For example, Bodin and
626 Morlat (Bodin and Morlat, 2006) found broad based differences in number of days to
627 reach specific phenologic stages that corresponded to an estimated soil available water
628 based on the 'terroir' categories of 'weak', 'medium' and 'strongly' weathered rock. The
629 relationship between stomatal conductance (integrated using $\delta^{13}\text{C}$ in leaves) and pre-
630 dawn LWP (ψ_{PD}) in their investigation was strong. On the other hand, the relationship
631 between ψ_{PD} and terroir category was not clear and complicated by precipitation. Water
632 status was more dependent on seasonal precipitation in a high rainfall region that varied
633 inter-annually, as compared with their geologic terroir categories (Bodin and Morlat,
634 2006). Our investigation differed in a critical way from those of Bodin and Morlat (Bodin
635 and Morlat, 2006), Reynolds and co-workers (Reynolds et al., 2007) and Bramley (2005)
636 who all noted a high degree of inconsistency between vintages in wine characteristics
637 and vine physiological attributes within single vineyards. Our results, in contrast, were
638 highly consistent for water status (ψ_{PD} and ψ_{L}) between 2007 and 2008 with a few
639 exceptions. Springtime precipitation (Mar-May) was 60.5 mm in 2007, when correlations
640 between ψ_{PD} and ψ_{L} broke down at the pea-size phenological stage, while in 2008,
641 when Mar-May precipitation was only 7.9 mm correlations between physiological and
642 growth variables with ψ_{PD} and ψ_{L} were statistically significant.

643 Bonfante and colleagues (Bonfante et al., 2011) identified crop water stress index
644 (CWSI) and soil available water capacity (AWC, measured for the <2 mm particle size
645 fraction) as imparting an influence on wine characteristic. CSWI is closely linked to
646 stomatal behavior, and thus somewhat indirectly linked to the supply function of soil

647 water (see Sperry et al., 2002). The information for AWC was gathered from soil survey
648 data taken at a small scale (1:50,000). Our investigation differed in that we identified a
649 direct correlation with soil plant available water (PAW), where $PAW = [AWC \times ERD \times (1 -$
650 $fraction\ rock + gravel\ volume)]$ mapped at a large scale of approximately 1:2,500 and with
651 an observed PAW range (68-177 mm) that greatly exceeded the smoothing exercises of
652 both Bonfante and colleagues (Bonfante et al., 2011) and Jones (Jones et al., 2004).
653 Our results indicated that PAW was well correlated with physiological and growth
654 variables measured in this investigation (Figure 14). Thus, the soil water reservoir, when
655 integrated for rock and gravel content and effective rooting depth and not precipitation or
656 other environmental variables emerged as being more explanatory. This brings up two
657 important aspects of the concept of geologic terroir: 1) when data is smoothed too much,
658 critical detail is lost, but when detail is too great (Carey et al., 2008), large scale patterns
659 cannot be discerned, and, 2) our data and that of others highlights the n-dimensionality
660 of terroir and how an environmental variable like precipitation can negate, e.g., a
661 geologic factor. This highlights the extreme degree of spatial heterogeneity of soils,
662 along with frequent and abrupt transitions in depth, horizonation and chemical
663 composition.

664 The areas of greatest water stress in the vineyard block studied (Figures 2, 3, 10 and
665 11), showed consistent vine physiological responses for both the 2007 and 2008
666 vintages. The geospatial patterns detected corresponded well with other interpolated
667 maps of physiological variables assessed like berry size, berry weight, °Brix and pruning
668 weight (Figures 4, 5, 6 and 7). The consistency we observed is an important finding with
669 respect to the observations of Reynolds and co-workers (2007), who found no
670 consistency over 4 vintages (1998-2002) for yield parameters, key aroma compounds,
671 volatile terpenes, titratable acidity, pH and soluble solids (°Brix), and Bramley (2005)

672 who found no inter-vintage consistency for quality parameters like anthocyanin and
673 polyphenolic content. In this investigation berry size (mm diameter), berry weight (g)
674 were both strongly positively correlated with both ψ_{PD} and ψ_L at véraison in both 2007
675 and 2008 (Figures 8 and 9). Pruning weight (vine size, Figure 7) was similarly
676 statistically significantly correlated with ψ_{PD} and ψ_L ($P < 0.001$) indicating that more
677 negative LWP and therefore higher stress levels resulted in smaller canopies with
678 respect to fruit load (Cortell et al., 2005; Tisseyre et al., 2008; Winkel et al., 1995). This
679 may have indicated that the canopies of the water stressed categorized vines ($\psi_L \leq -$
680 15.0 bars) were more open, perhaps allowing for greater light interception by fruit, but
681 this was not directly evaluated. It is likely a smaller canopy of the stressed vines was
682 achieved over long time periods (years), considering the advanced age of the vineyard.
683 Finally, °Brix was consistently statistically significantly negatively correlated with ψ_{PD} and
684 ψ_L , albeit weakly, in both 2007 and 2008 (Figures 8 and 9) which again was surprising
685 considering its geospatial pattern (Figure 6) was strongly similar to water status (Figures
686 2, 3, 10 and 11) and PAW (Figure 12).

687 The crop evapotranspiration demand during the 2007 growing season was 274 mm
688 and during 2008 it was 280 mm of water (CIMIS, 2014), as corrected using a grape crop
689 coefficient of 0.8 and a maximum canopy estimated at approximately 0.6 m²/m² leaf area
690 index using shadow casting estimates (Williams ?). It is acknowledged there are
691 competing factors that could influence fruit chemical composition other than a limited
692 supply function (A_R , cf. Sperry et al. 2002) versus evapotranspiration demand (A_L).
693 Related factors such as drainage (air filled porosity) and the volume of soil roots occupy
694 (root:shoot ratio) may also play a role. For example, the balance of root:shoot hormonal
695 relationships under water stress conditions could influence root to shoot hormone

696 transport and ripening (Munns and Sharp, 1993; Okamoto et al., 2004). One of the most
697 dramatic examples of shallowness was found at the northeastern section of the vineyard
698 block, where the vine rows shorten, on the border between irrigation-blocks 4N and 4C
699 (Figure 1). This corresponded well with areas of vines categorized as water stressed in
700 the LWP interpolations (Figures 10 and 11 left panel). The geospatial pattern of LWP
701 was observed starting as early as the pea-size phenological stage (2008) for both ψ_{PD}
702 and ψ_L . As noted above, both physiological measures of water status strongly resemble
703 patterns that emerged for berry diameter (Figure 4) berry weight (Figure 5), °Brix
704 (Figure 6) and pruning weight (Figure 7). The soils found in the consistently non-
705 stressed categorized irrigation block 4S, at the southern end of the vineyard, are deeper
706 with consistently higher PAW. These soils were derived from historic alluvial deposits
707 and alluvial (landslide) even as compared with the volcanic soils found elsewhere in
708 the more north and central extent of SLV 4.

709 4.2 Sensory Characteristics

710 A key hypothesis was that berries from vines under greater water stress within the
711 SLV 4 vineyard would have higher °Brix earlier in the season and sensory characteristics
712 more typical of riper fruit - sweeter, softer, less acidic berries (Bravdo et al., 1985;
713 Jackson and Lombard, 1993; Koundouras et al., 2006; Peterlunger et al., 2007; Seguin,
714 1983; Tregoat et al., 2002). A less explored area of ripeness concerns the relationship
715 between fruit maturity at harvest and the appearance or disappearance of volatile
716 compounds (Canuti et al., 2009). While this raises more questions concerning the role
717 of fruit 'maturity' in the sensory experience, this report was limited to detection of non-
718 volatile compounds. Nonetheless, the characteristics in the sensory analysis associated
719 with advanced ripeness and heightened quality were more heavily weighted by LWP
720 category (Figure 15).

721 Many of the significant sensory characteristics found in this study were indicators of
722 ripeness with respect to the factors of texture, color, and flavor. As discussed above,
723 early ripening and particularly earlier onset of véraison has been positively correlated
724 with water stress. The significant results in the sensory panel indicated that, in
725 accordance with the original hypothesis, fruit of the more stressed categorized vines
726 within the SLV 4 vineyard was ripening sooner as indicated by detection of advanced
727 ripening characteristics (Figure 15). Earlier ripening in this case was significantly
728 correlated, albeit weakly, with more negative water potentials - leading to higher soluble
729 solids content (Figures 10 and 11). Unexpectedly, astringency, which is a sensory term
730 often linked with higher polymeric phenol composition, was judged by the sensory panel
731 to be higher in the non-stressed vine category; whereas, heightened polyphenolic levels
732 of grapes from vines experiencing water stress is well established, as discussed in the
733 Introduction. Nonetheless, sugar is known to mask astringency, and phenolic
734 development is strongly tied to sugar development (Pirie and Mullins, 1977), so it is hard
735 to uncouple these two compositional factors without further chemical analysis of the
736 berries. As the soluble solids content was found to be higher in the vines categorized as
737 water stressed, perhaps this masking effect acted to elude astringency detection by the
738 sensory panel. Nonetheless, phenolic composition of the skin increases at the greatest
739 rate during the latter stages of véraison (Pirie and Mullins, 1980) so this remains an
740 open area for further investigation. As the bulk of phenolic components are found in the
741 skin (Ribereau-Gayon and Stonestreet, 1964), water stress may be driving a berry
742 compositional difference perhaps unrelated to berry size and therefore likely unrelated to
743 phenolic content.

744 The sensory results were significant not just for taste and mouthfeel characteristics
745 associated with ripening, but for other characteristics as well, suggesting that the
746 sensory differences associated with water stress were not merely a result of the sort of

747 early ripening that water stress promoted. At least one investigation has focused on
 748 naturally occurring water deficits (non-irrigated, dryland conditions), and found early
 749 water stress ($\Psi_{PD} < -3.0$ bars at about pea-size) increased the concentration of
 750 anthocyanins and total phenolics in berry skins (Kondouros et al. 2006). In comparison
 751 to this investigation, the stress conditions were lesser. In addition, like this investigation,
 752 some other metabolic phenomenon like hormonal relationships not directly related to
 753 stress but root:shoot ratio might have been driving the development of these
 754 characteristics. Thicker berry skin is associated with higher water stress levels (Esteban
 755 et al., 2001) but that did not seem to be the case in this investigation. The significant
 756 sensory and physiological results observed here were simply contexts for developing
 757 further hypotheses into how the soil environment is involved mechanistically in
 758 separation of chemical constituents of the berry.

759 While the positive effects of water stress on quality have previously been thought to
 760 have a limit, particularly on the accumulation of sugar and the decrease in acidity
 761 (Chalmers et al., 2008; Girona et al., 2006; Ojeda et al., 2005), our findings indicated
 762 that positive effects extend well beyond what is considered severe stress. The
 763 theoretical “wilting point” as described for less hardy plants than grapevines, is at -15.0
 764 bars. The most stressed vines, those with LWP at or more negative than the wilting
 765 point, were those found to have the most positive characteristics by the sensory panel.
 766 In this study, almost half of the data vines in both 2007 (49.3%) and 2008 (43.7%) had
 767 mid-day water potentials at or below the wilting point. Interestingly, an additional 19.7 %
 768 (14 of 71 vines both years but not the same 14 vines) in SLV 4 were under LWP levels
 769 considered stressful at véraison, in example for $\Psi_L \leq -14.0$ bars accounted for 69%
 770 (2007) and 63% (2008) of the data vines. This difference between our results and
 771 others cited, however, may be due to cultivar, rootstock and/or age of the vines at the

772 time of the investigation (35 years). Sauvignon has been shown to be relatively stress-
773 tolerant (Gaudillere et al., 2002) as compared with other varieties like Syrah and Pinot
774 Noir, the varieties studied in the above-cited paper, and may be related to its elevated
775 activation of abscisic acid ABA synthetic pathways under deficit irrigation conditions
776 (Deluc et al. 2009), a putative signal molecule for stomatal closure (Okamoto et al. 2004;
777 Soar et al. 2004).

778 The natural range of water status seen in SLV 4 was in most cases more extreme
779 than prescribed by controlled irrigation trials (Bravdo et al. 1985; Chalmers et al., 2008,;
780 Esteban et al., 1999; Girona et al., 2006; Hepner et al., 1985; Ojeda et al., 2005), but
781 consistent across both years (see Table I). Again, this observation indicated that
782 permanent site-specific characteristics like soil texture, stoniness and rooting depth, the
783 primary factors in estimating PAW were causative. Soil boreholes taken at the vineyard
784 showed no strong pattern in any single physical characteristic that would contribute to
785 water stress. While it is generally accepted that water-holding capacity of the soil is a
786 limiting factor in both plant reproductive and vegetative productivity, vine-soil relations
787 are complicated by complex geomorphology and the deep rooting nature of grape
788 (Smart et al., 2006; Winkel et al., 1995). Temporal stability of within-vineyard variation
789 as tied to soil composition has been previously reported (Gaudillere et al., 2002) as well
790 as refuted (Reynolds et al., 2007; Winkel et al., 1995), which only reinforces the
791 possibility that conditions beyond soil composition *per se* are in play. At the site used for
792 this study, a non- atypical diverse hillside vineyard with heterogeneous soil depths and
793 types, it seems that a suite of factors contributing to PAW were causative.

794 4.3 Metabolomic Profiles

795 The strength of metabolomics as a tool in viticulture has not been fully explored. It
796 has been used to characterize wine styles (Schmidke et al., 2013) and to show
797 differences in wines from fruit grown on 'different soils' and in different vintages (Pereira

798 et al., 2007). Another recent study used genomic pathway analysis to explore the role of
799 water stress in grape (Deluc et al., 2009), but that study focused on hormone regulation,
800 particularly ABA. A difference in metabolic profile between plants experiencing extreme
801 water stress and those that were less stressed might be expected, and so a key
802 question is whether that correlates to flavor compound development.

803 Wine grapes are harvested at relatively high soluble solids content, and the high
804 sugar concentrations in the samples have tended to obscure detection of metabolites
805 found in lower-concentrations so compounds of interest in a metabolomics study are
806 generally found in low concentrations, it was determined that using skins only could
807 confer greater resolution to chromatograms. Molecular groups important to this study
808 can be found in the skins while only a small proportion of that population set is found in
809 the pulp and seeds (Harbertson et al., 2002).

810 The results of the metabolomic analysis were both expected and unexpected. The
811 elevation of organic acids has been demonstrated in well-irrigated vines (Bravdo et al.,
812 1985; Esteban et al., 1999; Hepner et al., 1985) and has been considered a mark of low
813 quality. The vines in SLV 4 were irrigated with quantities of water during both the 2007
814 and 2008 seasons that did not meet ET_c demand, and only after the vines had reached
815 apparently extremely stressful LWP levels. Less stressed vines in this investigation
816 were planted in areas with higher soil available water (Figures 10, 11 and 12), although
817 the irrigation quantities applied were less than ET_c demand even when added to the soil
818 water reservoir. The variable effect of irrigation on sugar accumulation observed in the
819 numerous reports and discussed in the Introduction section may account for the greater
820 number of differences across years and the simultaneous lack of a clear pattern among
821 compounds in that group.

822 A large suite of polyphenolic compounds increase in concentration as a
823 consequence of water stress and/or light interception by fruit clusters in grape canopies.

824 The linkage between water stress and restriction of vegetative growth (shoot and leaf
825 expansion) and thus light penetration into the canopy, makes it challenging to separate
826 the effects of light interception and temperature versus water stress *per se*. Polyphenolic
827 compounds are also different for different cultivars (Adams, 2006; Wenzel et al., 1987)
828 and this may help to explain a large degree of a sense of regional terroir. A positive
829 relationship between more negative LWP and elevation of both gross concentration of
830 polyphenols and the smaller population of inextractable polyphenols by extracting with
831 EtOH has been demonstrated (Sivilotti et al., 2005; Cassasas et al., 2013).

832 Amino acids are typically found in greater concentration in stressed vines
833 (Vasconcelosi et al., 2005). Not expected, however, was the clear way in which five
834 amino acids - valine, tryptophan, phenylalanine, leucine, and isoleucine - were highly
835 elevated in the vines experiencing severe water stress, especially as these amino acids
836 are not those typically elevated in grape. A study of Muscat of Alexandria showed 
837 elevated total amino acid levels in berries from deficit-irrigated vines (El-Ansary and
838 Okamoto, 2007), with arginine being the predominant amino acid identified. Proline has
839 been shown to be significantly elevated in the water stressed treatments in controlled
840 irrigation trials (Deluc et al., 2009; Freeman and Kliewer, 1985; Ginestar et al., 1998;
841 Matthews and Anderson, 1988). However, neither proline nor arginine was one of the
842 significantly different amino acids found in this investigation. The effect found in the
843 Deluc study (Deluc et al., 2009) was pronounced in Cabernet Sauvignon and not
844 significant in Chardonnay. Given the variable effect by cultivar, perhaps there is also a
845 rootstock-scion influence (Stockert et al., 2013) contributing to the differences in amino
846 acid profiles found in this investigation. It is also possible that as this study concentrated
847 on the skins of the grape berry rather than the juice, the proline and arginine
848 concentrations found here would not show the same elevated levels found elsewhere.

849 This presents a good example of why it can be so challenging to characterize a single
850 general variable (geology) in an n-dimensional response plane.

851 **4.1 Summary** 

852 **While many observations** supported our original hypotheses, that the soil water
853 reservoir and the establishment of water stressed conditions is a major driving variable
854 in geologic studies of terroir, we cannot entirely rule out other soil properties in
855 conditioning physiological responses in this vineyard. Another aim of this study was to
856 examine grapevines in the field on a vine-by-vine basis to achieve greater understanding
857 of the selective harvesting process as it relates to within-vineyard variability. Our
858 mapping exercises and sensory quality assessments of fruit (which were conducted by a
859 blind panel) agreed very well with the geospatial selective harvest area. The use of
860 grapes rather than wine in the sensory and chemical trials was unique, and contributed
861 to understanding, or perhaps verifying that in-field evaluation of fruit makes sense when
862 evaluated in a more objective manner. We found that basic monitoring techniques
863 already used in many vineyards to make selective harvesting decisions, for example
864 monitoring for water stress and preferentially picking smaller berries, was significant
865 when evaluated by unbiased sensory trials. Further, spatially relating the data using
866 geostatistical analyses other more conventional relational analyses proved invaluable in
867 assessing site variation

868

869

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875

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1202 **Table I:** Predawn (ψ_{PD}) and midday (ψ_L) leaf water potential during 2007 and 2008 in
 1203 bars.

		ψ_{PD} , range	ψ_{PD} , mean	ψ_L , range	ψ_L , mean
Bloom	2007	ND	ND	-10.70 to -6.50	-8.44
Pea-Size	2007	-4.33 to -0.55	-2.00	-12.80 to -7.65	-10.78
Véraison	2007	-12.00 to -1.90	-6.82	-18.20 to -10.70	-14.74
Bloom	2008	-4.80 to -0.90	-1.93	-10.80 to -5.80	-7.93
Pea Size	2008	-6.67 to -1.20	-3.07	-14.10 to -6.25	-9.73
Véraison	2008	-13.00 to -2.25	-7.00	-18.73 to -10.20	-14.75

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1208 **Table II:** Mean, range and % change in mean of physiological parameters of grape
 1209 berries, pruning weight and °Brix for the two vintages, 2007 and 2008 measured in the
 1210 investigation.

	2007		2008		%change in mean
	Range	Mean	Range	Mean	
Berry Diameter (mm)	9.23 - 12.20	10.51	8.58 - 10.76	9.99	-4.9%
Berry Weight (g)	0.56 - 1.08	0.80	0.49 - 0.90	0.74	-7.5%
Pruning Weight (kg)	0.75 - 4.58	1.83	0.45 - 3.69	1.45	-20.8%
Soluble Solids (°Brix)	21.0 - 28.2	25.3	20.4 - 28.1	25.1	-0.5%

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1215 **Table III:** Statistical probability of committing a Type I error when accepting the
 1216 hypothesis that physiological characteristics of °Brix, berry diameter, berry
 1217 weight and pruning weight differed between the leaf water potential groupings
 1218 at véraison of stressed ($\psi_{PD} \leq -8.0$ bars and $\psi_L \leq -15.0$ bars) versus non-
 1219 stressed individuals ($\psi_{PD} \geq -7.9$ bars or and $\psi_L \geq -14.9$ bars).

	$\psi_{PD}, 2007$	$\psi_L, 2007$	$\psi_{PD}, 2008$	$\psi_L, 2008$
°Brix	p=0.0540	p=0.0450	p=0.0008	P=0.0230
Berry Diameter	p≤0.0004	p≤0.0001	p=0.0643	P=0.0013
Berry Weight	p≤0.0001	p≤0.0001	p≤0.0001	P≤0.0001
Pruning Weight	p≤0.0001	p≤0.0001	p≤0.0001	P≤0.0001

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1223 **Figure 1:** Numbers correspond to data vines; numbers start over at 37 in 4N because of
 1224 the addition of data vines at véraison 2007. Rows run up the slope in a southwest-
 1225 northeast direction, so, e.g., data vines 1, 2, and 3, are in row 2. Areas 4N, 4C, and 4S
 1226 denote irrigation blocks 4-North, 4-Center, and 4-South, respectively.

1227 **Figure 2:** Interpolated map using ordinary kriging analysis of 2007 pre-dawn leaf water
 1228 potential (LWP, left) at the pea-size phenologic stage and measured on 6/19/07, and
 1229 2007 pea-size mid-day LWP (right), measured on the same day.

1230 **Figure 3:** Interpolated map using ordinary kriging analysis of 2008 pea-size phenologic
 1231 stage pre-dawn leaf water potential (LWP, left), measured on 6/26/08 and 2008 midday
 1232 LWP (right), measured on 6/25/08.

1233 **Figure 4:** Interpolated map using ordinary kriging analysis of 2007 berry diameter (left)
 1234 measured on 8/23/07, and 2008 berry diameter (right) measured on 8/28/08.

1235 **Figure 5:** Interpolated map using ordinary kriging analysis of 2007 berry weight (left)
 1236 measured on 9/05/07, and 2008 berry weight (right) measured on 9/04/08.

1237 **Figure 6:** Interpolated map using ordinary kriging analysis of 2007 soluble solids (Brix)
 1238 measured on 9/5/07 (left), and 2008 soluble solids measured on 9/4/08.

1239 **Figure 7:** Interpolated map using ordinary kriging analysis of 2007 pruning weight (left),
 1240 measured 2/4/08, and of 2008 pruning weight (right), measured 2/12/09.

1241 **Figure 8:** Correlations of physiological responses, berry size and weight, soluble solids
 1242 ($^{\circ}$ Brix) and canopy size (pruning weight) versus pre-dawn and mid-day leaf water
 1243 potential (LWP) at véraison in 2007.

1244 **Figure 9:** Correlations of physiological responses, berry size and weight, soluble solids
 1245 ($^{\circ}$ Brix) and canopy size (pruning weight) versus pre-dawn and mid-day leaf water
 1246 potential (LWP) at véraison in 2008.

1247 **Figure 10:** Interpolated map using ordinary kriging analysis showing 2007 vineyard area
 1248 showing pre-dawn LWP at vériason (right) and locations (left) as divided into analysis

1249 groups of vines categorized as water stressed ($\psi_L \leq -15.0$ bars) and vines categorized
1250 as non-stressed ($\psi_L \geq -14.99$ bars).

1251 **Figure 11:** Interpolated map using ordinary kriging analysis showing 2008 vineyard area
1252 showing mid-day LWP at vériason (right) and locations (left) as divided into analysis
1253 groups of vines categorized as water stressed ($\psi_L \leq -15.0$ bars) and vines categorized
1254 as non-stressed ($\psi_L \geq -14.99$ bars).

1255 **Figure 12:** Interpolated map (left) using ordinary kriging analysis showing vineyard area
1256 locations as divided into analysis groups of vines categorized as water stressed ($\psi_L \leq -$
1257 15.0 bars) and vines categorized as not stressed ($\psi_L \geq -14.99$ bars). Interpolated map
1258 of plant available water (mm) using ordinary kriging analysis (right).

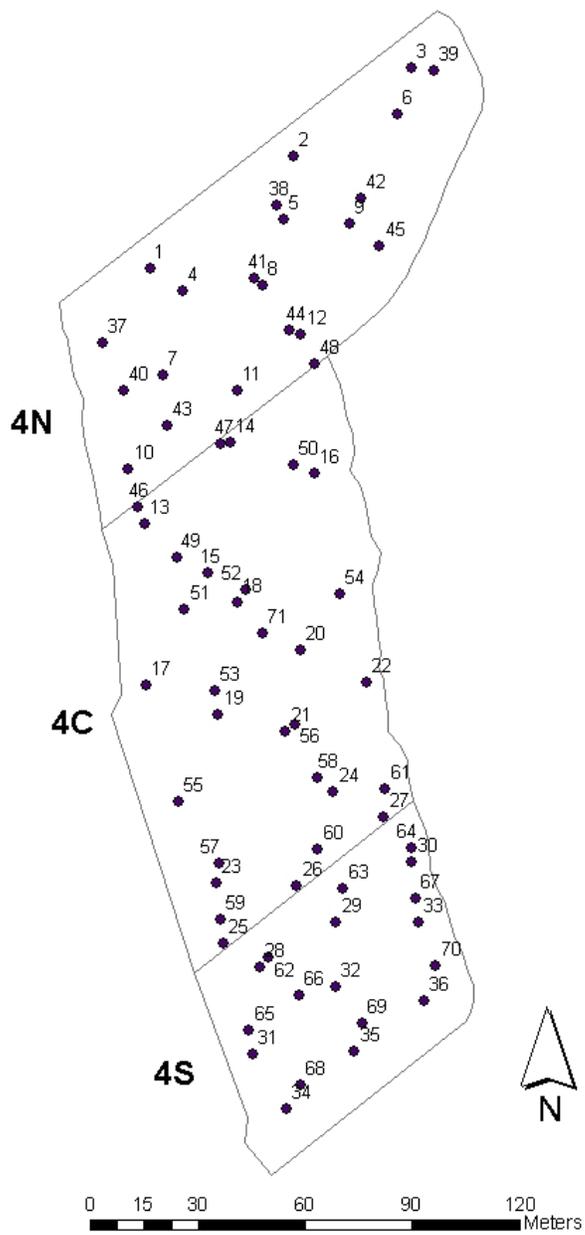
1259 **Figure 13:** Interpolated map using ordinary kriging analysis of SLV 4 percent sand
1260 content (left) and percent clay content (right).

1261 **Figure 14:** Correlations of physiological data versus plant available water in soil (PAW).
1262 Data are shown for 2008 and similar results were encountered for 2007.

1263 **Figure 15:** Principle components analysis (PCA) of identified sensory characteristics.
1264 Significant differences emerged between the group of vines categorized as non-water
1265 stressed (elements seen in upper quadrants), and the group of vines categorized as
1266 water stressed (elements seen in lower quadrants). Numbers are data vine numbers;
1267 data vines categorized as non-water stressed are labeled in green type, and data vines
1268 categorized as stressed are indicated by orange labels.

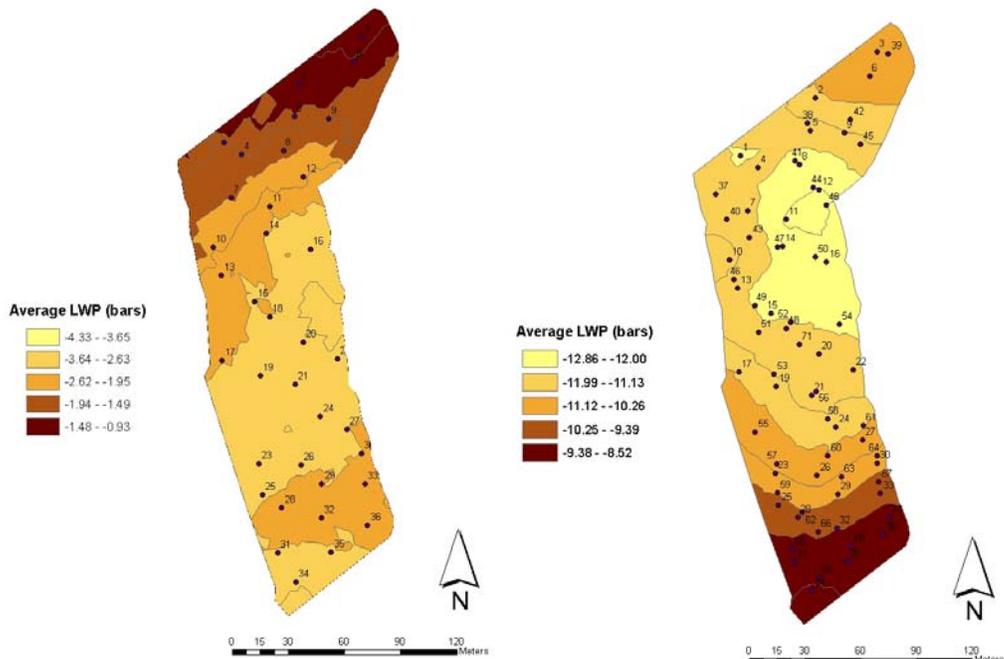
1269 **Figure 16:** Principle components analysis (PCA) showing strong separation of amino
1270 acids from organic acids across both years, and indicating a significant water stress
1271 effect. Number labels correspond to data vine numbers; green labels represent non-
1272 water stressed categorized vines and orange labels represent stressed vine category.
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1274 **Figure 1.**
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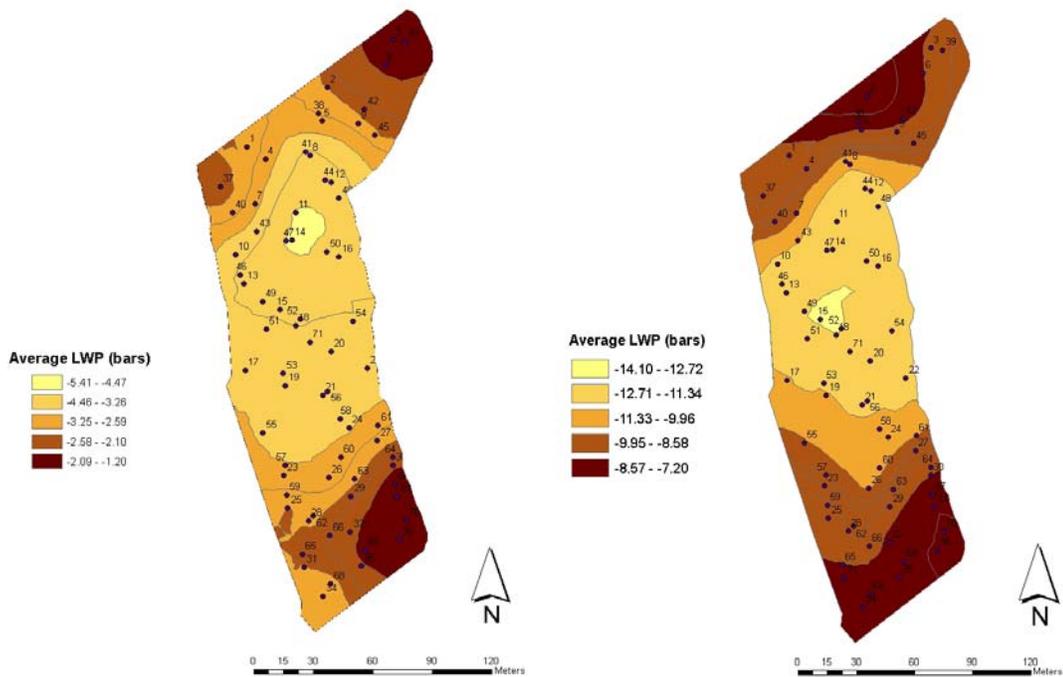


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1278 Figure 2.
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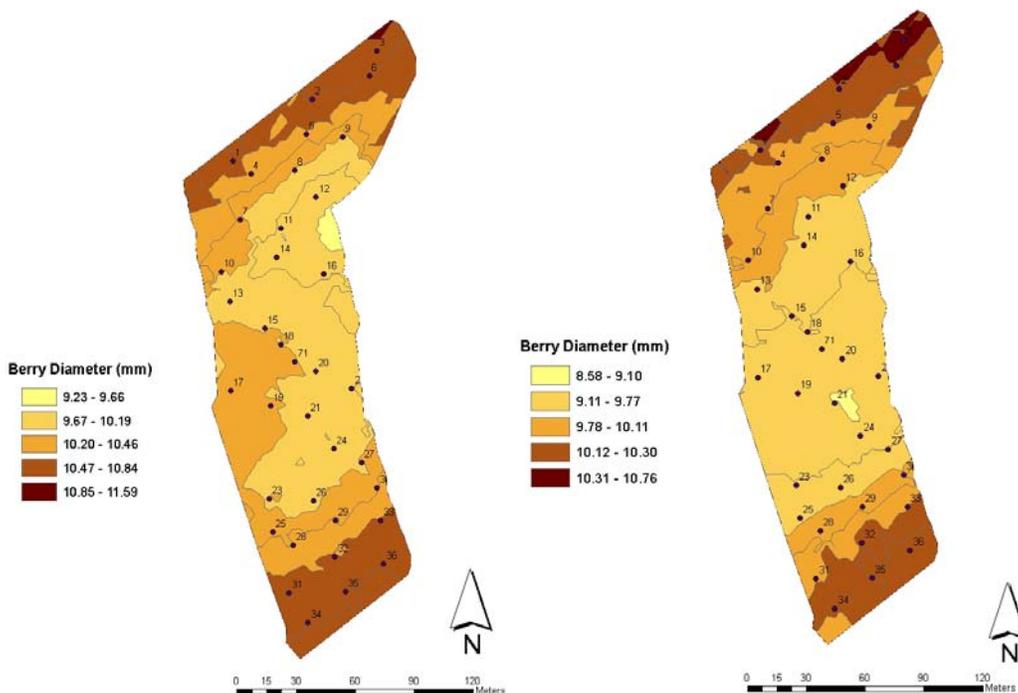


1280 Figure 3.
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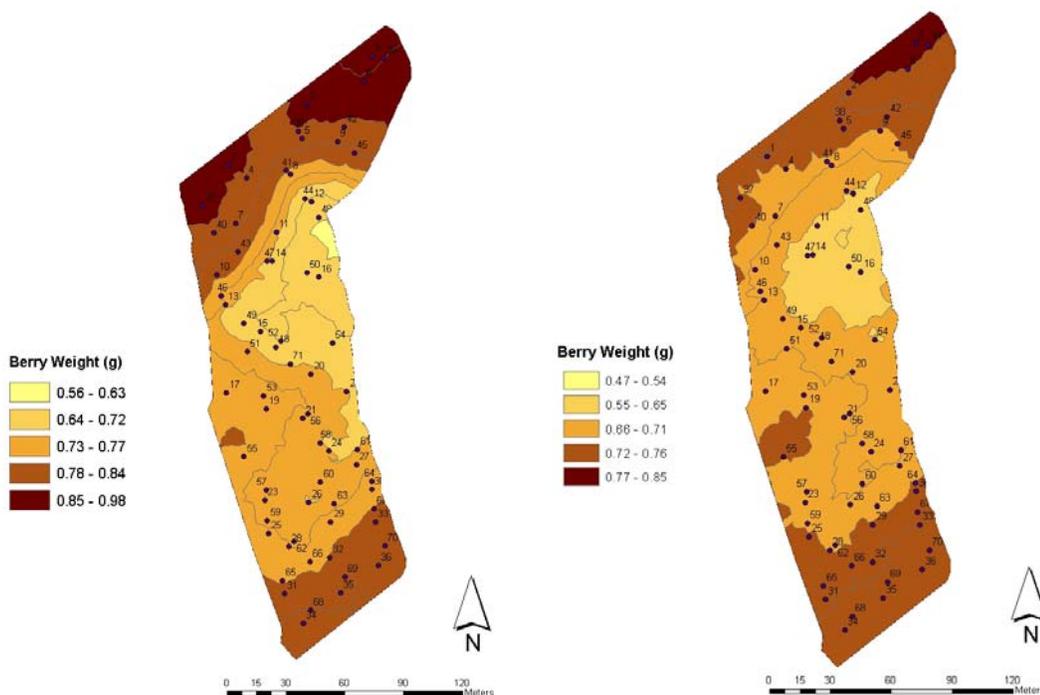


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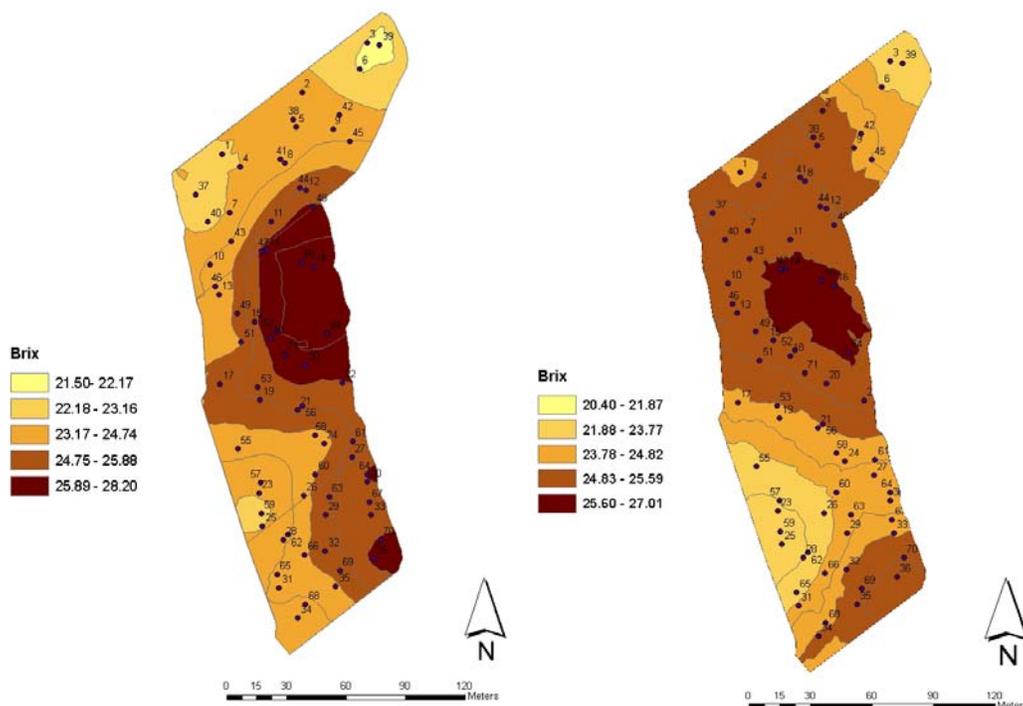


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1288 Figure 5.
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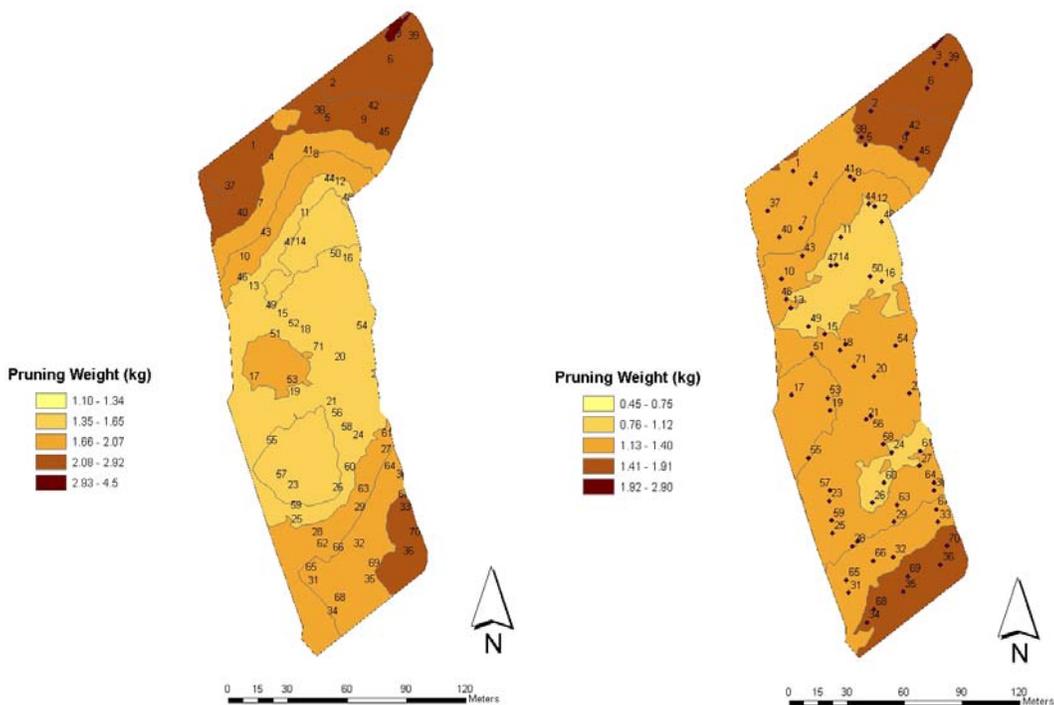


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1292 Figure 6.
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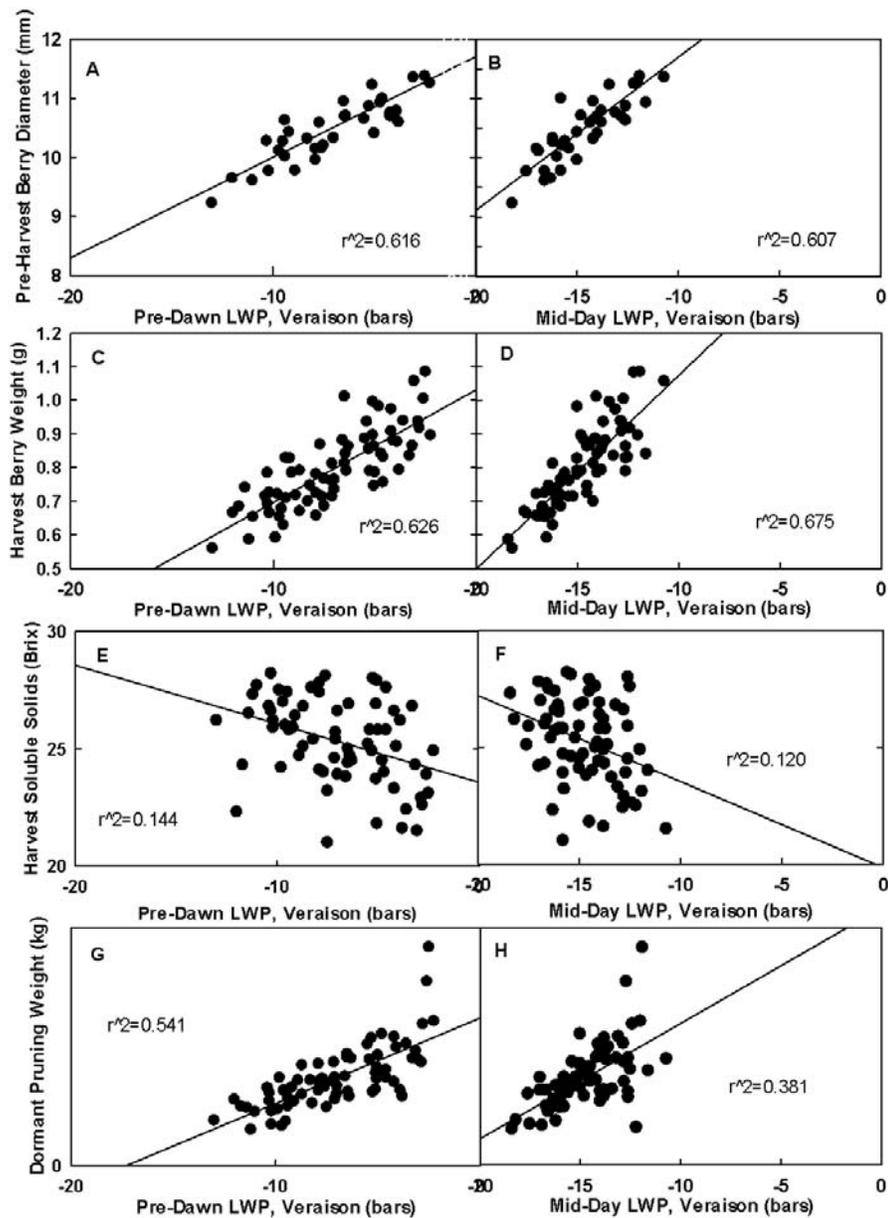


1294 Figure 7.
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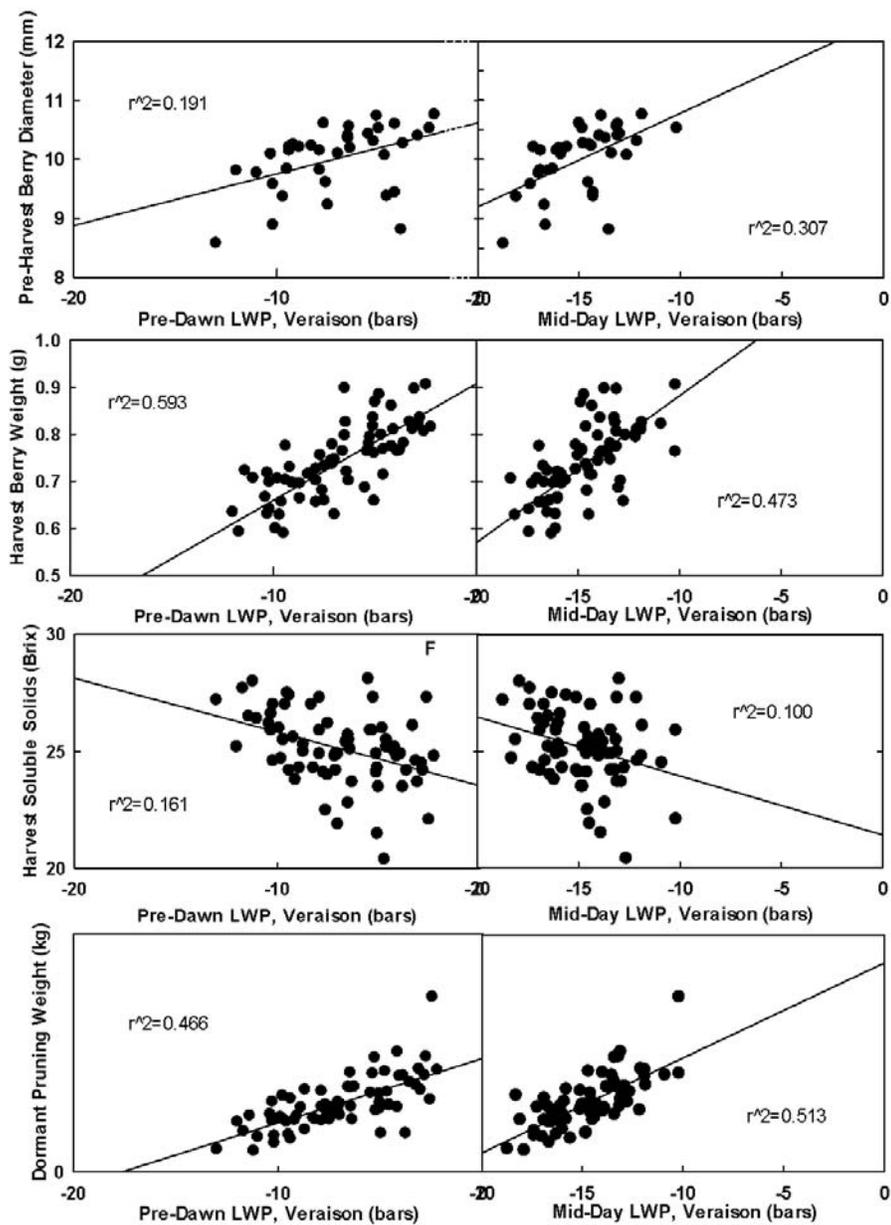
1299 **Figure 8.**
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1303 Figure 9.
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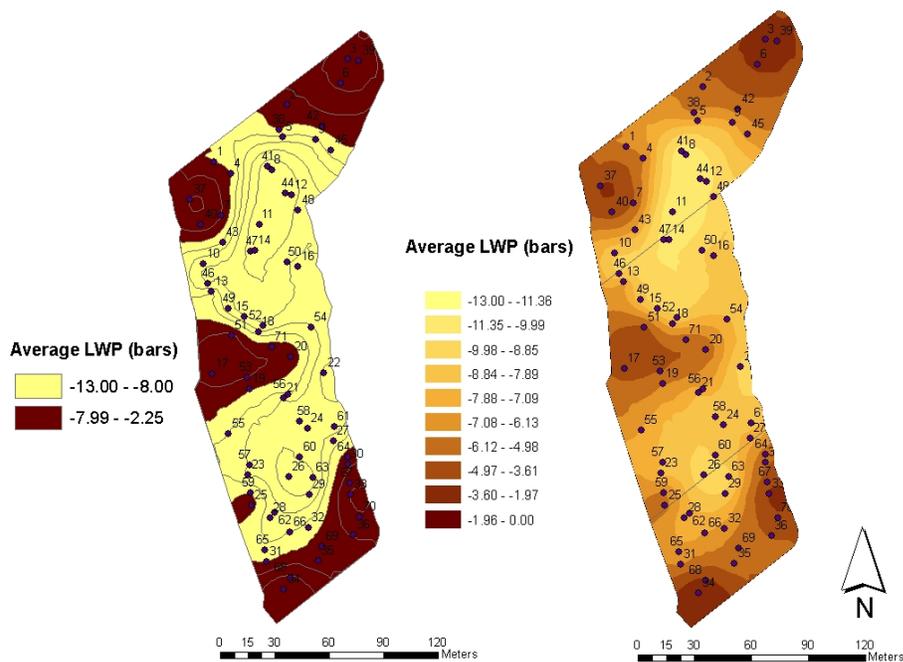


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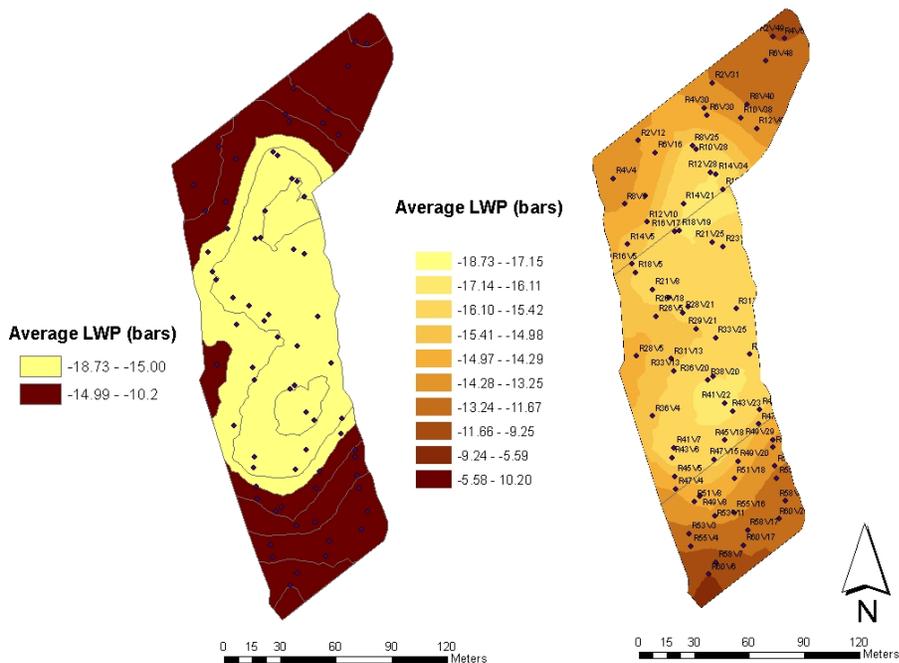
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1307 Figure 10.
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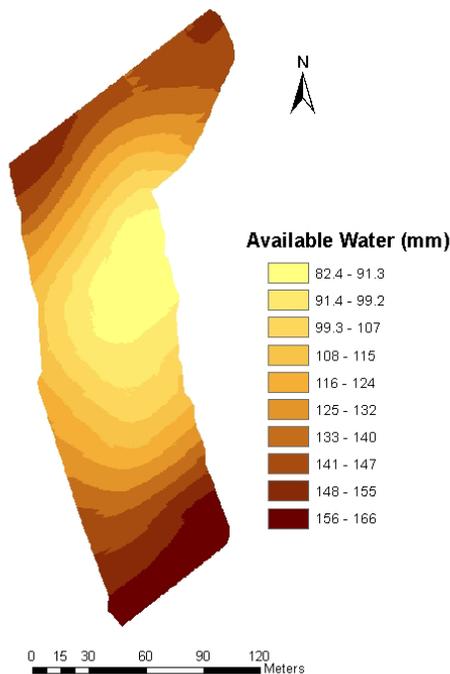
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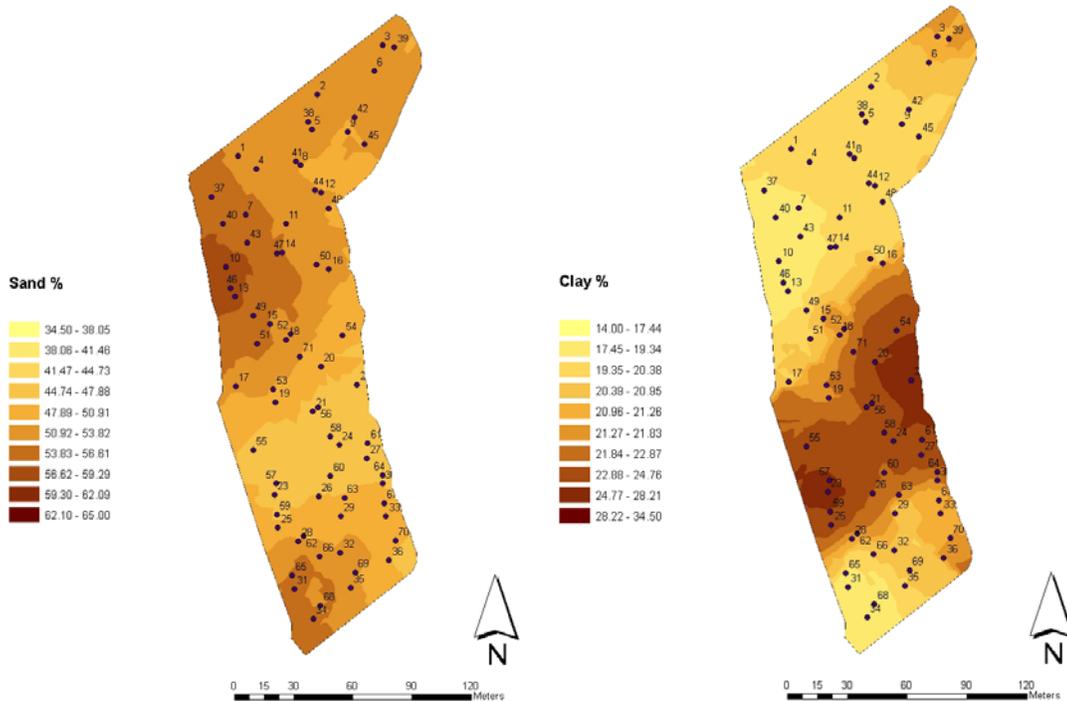
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Figure 12.



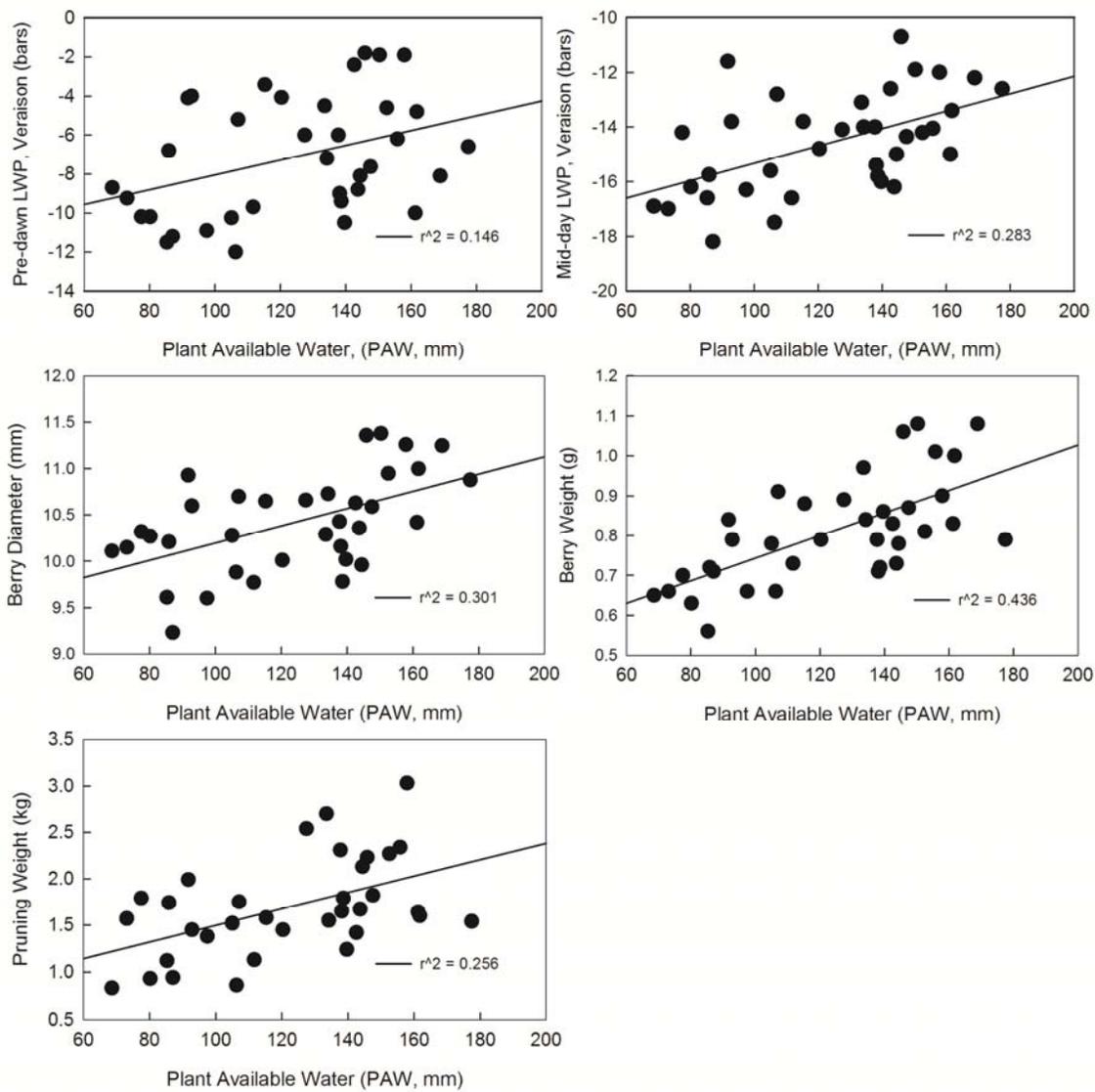
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Figure 13.



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1324 **Figure 14.**
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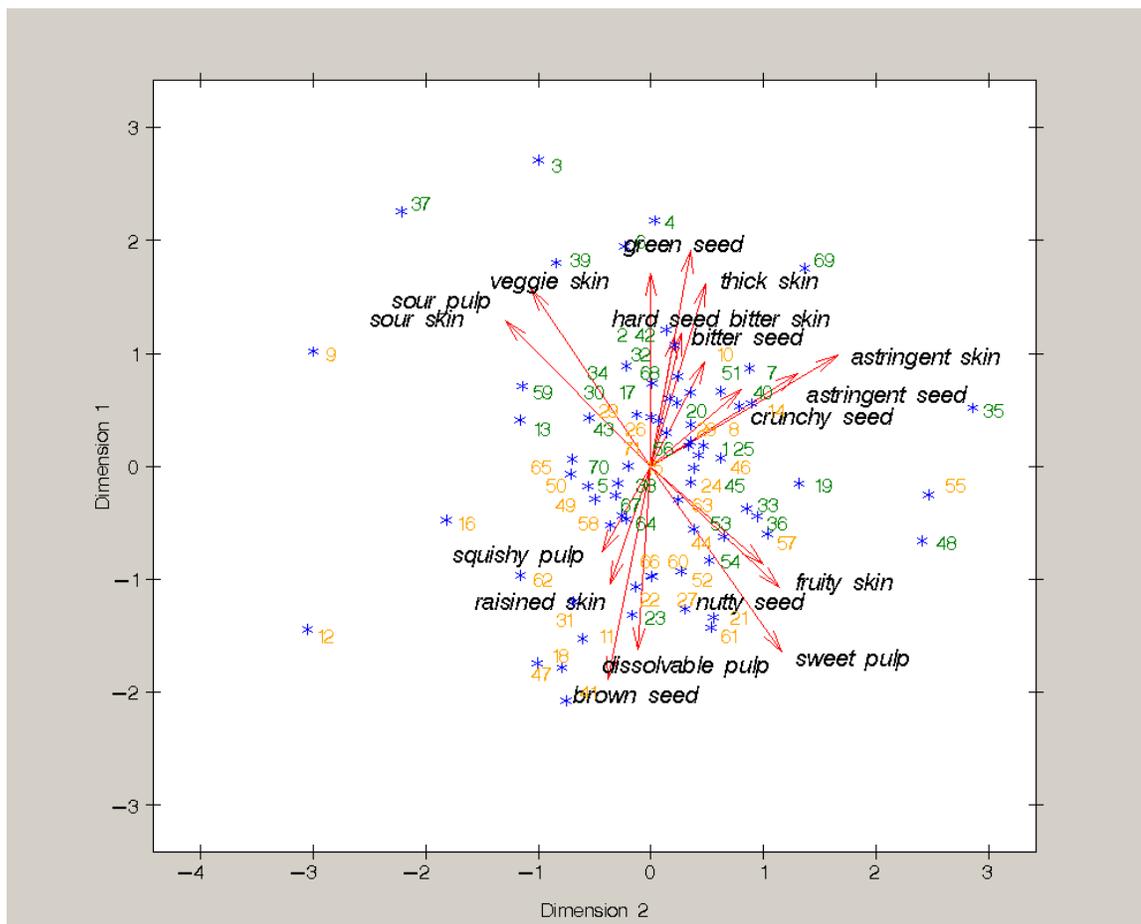


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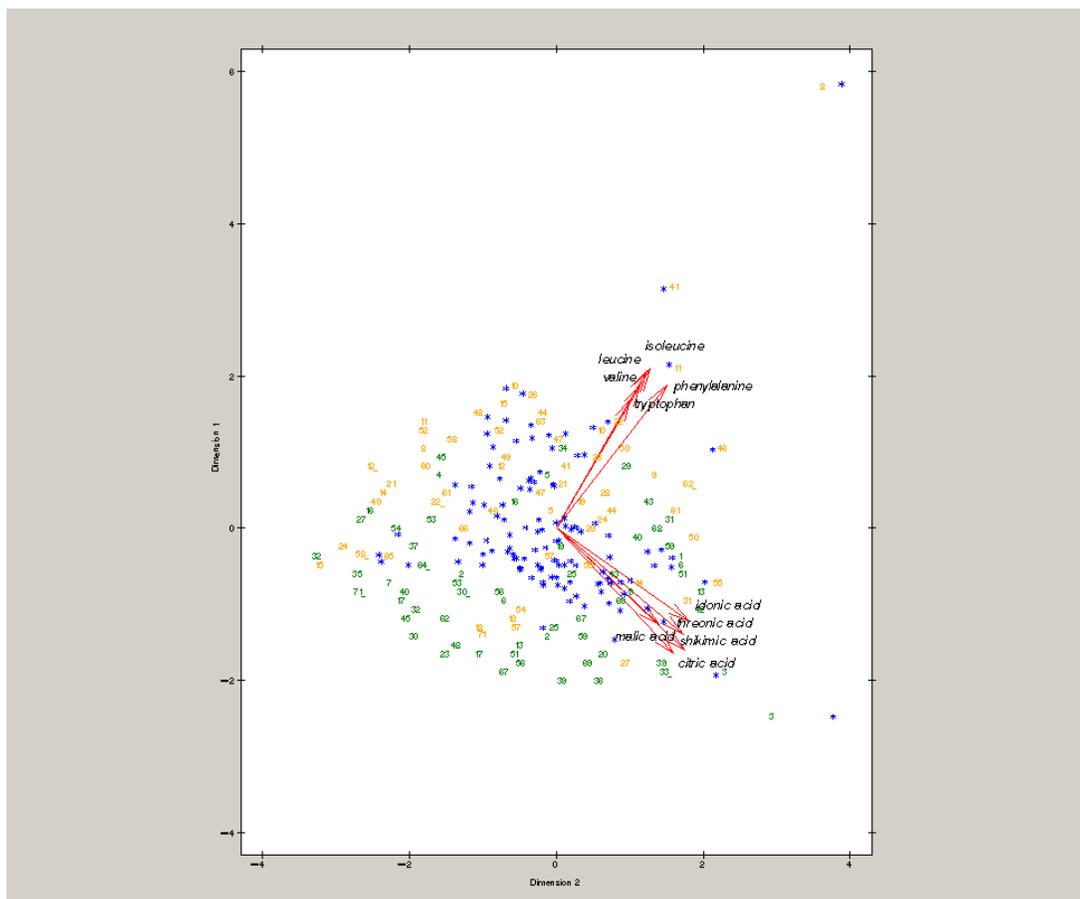
Figure 15. 



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Figure 16. 



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